

**COUNTY OF FRESNO**  
**REQUEST FOR PROPOSAL**  
**NUMBER: 952-5411**  
**CONSUMER/FAMILY ADVOCACY**

---

Issue Date: December 31, 2015

Closing Date: FEBRUARY 4, 2016

**Proposal will be considered LATE when the official Purchasing time clock reads 2:00 P.M.**

Questions regarding this RFP should be directed to: Gary E. Cornuelle,  
phone (559) 600-7114 or e-mail [gcornuelle@co.fresno.ca.us](mailto:gcornuelle@co.fresno.ca.us).

Check County of Fresno Purchasing's website at  
<https://www2.co.fresno.ca.us/0440/Bids/BidsHome.aspx>  
for any future addenda.

Please submit all Proposals to:  
County of Fresno - Purchasing  
4525 E. Hamilton Avenue, 2<sup>nd</sup> Floor  
Fresno, CA 93702-4599

---

**BIDDER TO COMPLETE**

Undersigned agrees to furnish the commodity or service stipulated in the attached response at the prices and terms stated, subject to the attached  
"County of Fresno Purchasing Standard Instructions And Conditions For Requests For Proposals (RFP's) And Requests For Quotations (RFQ's)".

---

COMPANY

---

ADDRESS

---

CITY

STATE

ZIP CODE

---

( )

TELEPHONE NUMBER

---

( )

FACSIMILE NUMBER

---

E-MAIL ADDRESS

---

SIGNATURE (IN BLUE INK)

---

PRINT NAME

TITLE

PURCHASING USE: GEC:ssj

ORG/Requisition: 56304710 / 5631601512

## COUNTY OF FRESNO PURCHASING

### STANDARD INSTRUCTIONS AND CONDITIONS FOR REQUESTS FOR PROPOSALS (RFP'S) AND REQUESTS FOR QUOTATIONS (RFQ'S)

Note: the reference to "bids" in the following paragraphs applies to RFP's and RFQ's

## GENERAL CONDITIONS

By submitting a bid the bidder agrees to the following conditions. These conditions will apply to all subsequent purchases based on this bid.

1. BID PREPARATION:

- A) All prices and notations must be typed or written in ink. No erasures permitted. Errors may be crossed out, initialed and corrections printed in ink by person signing bid.
- B) Brand Names: Brand names and numbers when given are for reference. Equal items will be considered, provided the offer clearly describes the article and how it differs from that specified. In the absence of such information it shall be understood the offering is exactly as specified.
- C) State brand or make of each item. If bidding on other than specified, state make, model and brand being bid and attach supporting literature/specifications to the bid.
- D) Bid on each item separately. Prices should be stated in units specified herein. All applicable charges must be quoted; charges on invoice not quoted herein will be disallowed.
- E) Time of delivery is a part of the consideration and must be stated in definite terms and must be adhered to. F.O.B. Point shall be destination or freight charges must be stated.
- F) All bids must be dated and signed with the firm's name and by an authorized officer or employee.
- G) Unless otherwise noted, prices shall be firm for one hundred eighty (180) days after closing date of bid.

2. SUBMITTING BIDS:

- A) Each bid must be submitted on forms provided in a sealed envelope/package with bid number and closing date and time on the outside of the envelope/package.
- B) Interpretation: Should any discrepancies or omissions be found in the bid specifications or doubt as to their meaning, the bidder shall notify the Buyer in writing at once. The County shall not be held responsible for verbal interpretations. Questions regarding the bid must be received by Purchasing stated within this document. All addenda issued shall be in writing, duly issued by Purchasing and incorporated into the contract.
- C) ISSUING AGENT/AUTHORIZED CONTACT: This RFP/RFQ has been issued by County of Fresno, Purchasing. Purchasing shall be the vendor's sole point of contact with regard to the RFP/RFQ, its content, and all issues concerning it.

All communication regarding this RFP/RFQ shall be directed to an authorized representative of County Purchasing. The specific buyer managing this RFP/RFQ is identified on the cover page, along with his or her telephone number, and he or she should be the primary point of contact for discussions or information pertaining to the RFP/RFQ.

Contact with any other County representative, including elected officials, for the purpose of discussing this RFP/RFQ, its content, or any other issue concerning it, is prohibited unless authorized by Purchasing. Violation of this clause, by the vendor having unauthorized contact (verbally or in writing) with such other County representatives, may constitute grounds for rejection by Purchasing of the vendor's quotation.

The above stated restriction on vendor contact with County representatives shall apply until the County has awarded a purchase order or contract to a vendor or vendors, except as follows. First, in the event that a vendor initiates a formal protest against the RFP/RFQ, such vendor may contact the appropriate individual, or individuals who are managing that protest as outlined in the County's established protest procedures. All such contact must be in accordance with the sequence set forth under the protest procedures. Second, in the event a public hearing is scheduled before the Board of Supervisors to hear testimony prior to its approval of a purchase order or contract, any vendor may address the Board.

- D) Bids received after the closing time will NOT be considered.
- E) Bidders are to bid what is specified or requested first. If unable to or unwilling to, bidder may bid alternative or option, indicating all advantages, disadvantages and their associated cost.

3. FAILURE TO BID:

- A) If not bidding, return bid sheet and state reason for no bid or your name may be removed from mailing list.

4. TAXES, CHARGES AND EXTRAS:

- A) County of Fresno is subject to California sales and/or use tax (8.225%). Please indicate as a separate line item if applicable.
- B) **DO NOT** include Federal Excise Tax. County is exempt under Registration No. 94-73-03401-K.
- C) County is exempt from Federal Transportation Tax. Exemption certificate is not required where shipping papers show consignee as County of Fresno.
- D) Charges for transportation, containers, packing, etc. will not be paid unless specified in bid.

5. W-9 – REQUEST FOR TAXPAYER IDENTIFICATION NUMBER AND CERTIFICATION & CALIFORNIA FORM 590 WITHHOLDING EXEMPTION CERTIFICATE:

Upon award of bid, the vendor shall submit to County Purchasing, a completed IRS Form W-9 - Request for Taxpayer Identification Number and Certification and a California Form 590 Withholding Exemption Certificate if not currently a County of Fresno approved vendor.

## Proposal No. 952-5411

### 6. AWARDS:

- A) Award(s) will be made to the most responsive responsible bidder; however, the Fresno County Local Vendor Preference and/or the Disabled Veteran Business Enterprise Preference shall take precedence when applicable. Said Preferences apply only to Request for Quotations for materials, equipment and/or supplies only (no services); the preference do not apply to Request for Proposals. RFQ evaluations will include such things as life-cycle cost, availability, delivery costs and whose product and/or service is deemed to be in the best interest of the County. The County shall be the sole judge in making such determination.
- B) Unless bidder gives notice of all-or-none award in bid, County may accept any item, group of items or on the basis of total bid.
- C) The County reserves the right to reject any and all bids and to waive informalities or irregularities in bids.
- D) Award Notices are tentative: Acceptance of an offer made in response to this RFP/RFQ shall occur only upon execution of an agreement by both parties or issuance of a valid written Purchase Order by Fresno County Purchasing.
- E) After award, all bids shall be open to public inspection. The County assumes no responsibility for the confidentiality of information offered in a bid.

### 7. TIE BIDS:

All other factors being equal, the contract shall be awarded to the Fresno County vendor or, if neither or both are Fresno County vendors, it may be awarded by the flip of a coin in the presence of witnesses or the entire bid may be rejected and re-bid. If the General Requirements of the RFQ state that they are applicable, the provisions of the Fresno County Local Vendor Preference shall take priority over this paragraph.

### 8. PATENT INDEMNITY:

The vendor shall hold the County, its officers, agents and employees, harmless from liability of any nature or kind, including costs and expenses, for infringement or use of any copyrighted or un-copyrighted composition, secret process, patented or unpatented invention, article or appliance furnished or used in connection with this bid.

### 9. SAMPLES:

Samples, when required, must be furnished and delivered free and, if not destroyed by tests, will upon written request (within thirty (30) days of bid closing date) be returned at the bidder's expense. In the absence of such notification, County shall have the right to dispose of the samples in whatever manner it deems appropriate.

### 10. RIGHTS AND REMEDIES OF COUNTY FOR DEFAULT:

- A) In case of default by vendor, the County may procure the articles or service from another source and may recover the cost difference and related expenses occasioned thereby from any unpaid balance due the vendor or by proceeding against performance bond of the vendor, if any, or by suit against the vendor. The prices paid by the County shall be considered the prevailing market price at the time such purchase is made.
- B) Articles or services, which upon delivery inspection do not meet specifications, will be rejected and the vendor will be considered in default. Vendor shall reimburse County for expenses related to delivery of non-specified goods or services.

- C) Regardless of F.O.B. point, vendor agrees to bear all risks of loss, injury or destruction to goods and materials ordered herein which occur prior to delivery and such loss, injury or destruction shall not release vendor from any obligation hereunder.

### 11. DISCOUNTS:

Terms of less than fifteen (15) days for cash payment will be considered as net in evaluating this bid. A discount for payment within fifteen (15) days or more will be considered in determining the award of bid. Discount period will commence either the later of delivery or receipt of invoice by the County. Standard terms are Net forty-five (45) days.

### 12. SPECIAL CONDITIONS IN BID SCHEDULE SUPERSEDE GENERAL CONDITIONS:

The "General Conditions" provisions of this RFP/RFQ shall be superseded if in conflict with any other section of this bid, to the extent of any such conflict.

### 13. SPECIAL REQUIREMENT:

With the invoice or within twenty-five (25) days of delivery, the seller must provide to the County a Material Safety Data Sheet for each product, which contains any substance on "The List of 800 Hazardous Substances", published by the State Director of Industrial Relations. (See Hazardous Substances Information and Training Act, California State Labor Code Sections 6360 through 6399.7.)

### 14. RECYCLED PRODUCTS/MATERIALS:

Vendors are encouraged to provide and quote (with documentation) recycled or recyclable products/materials which meet stated specifications.

### 15. YEAR COMPLIANCE WARRANTY:

Vendor warrants that any product furnished pursuant to this Agreement/order shall support a four-digit year format and be able to accurately process date and time data from, into and between the twentieth and twenty-first centuries, as well as leap year calculations. "Product" shall include, without limitation, any piece or component of equipment, hardware, firmware, middleware, custom or commercial software, or internal components or subroutines therein. This warranty shall survive termination or expiration of this Agreement.

In the event of any decrease in product functionality or accuracy related to time and/or date data related codes and/or internal subroutines that impede the product from operating correctly using dates beyond December 31, 1999, vendor shall restore or repair the product to the same level of functionality as warranted herein, so as to minimize interruption to County's ongoing business process, time being of the essence. In the event that such warranty compliance requires the acquisition of additional programs, the expense for any such associated or additional acquisitions, which may be required, including, without limitation, data conversion tools, shall be borne exclusively by vendor. Nothing in this warranty shall be construed to limit any rights or remedies the County may otherwise have under this Agreement with respect to defects other than year performance.

### 16. PARTICIPATION:

Bidder may agree to extend the terms of the resulting contract to other political subdivision, municipalities and tax-supported agencies.

Such participating Governmental bodies shall make purchases in their own name, make payment directly to bidder, and be liable directly to the bidder, holding the County of Fresno harmless.

## Proposal No. 952-5411

### 17. CONFIDENTIALITY:

All services performed by vendor shall be in strict conformance with all applicable Federal, State of California and/or local laws and regulations relating to confidentiality, including but not limited to, California Civil Code, California Welfare and Institutions Code, Health and Safety Code, California Code of Regulations, Code of Federal Regulations.

Vendor shall submit to County's monitoring of said compliance.

Vendor may be a business associate of County, as that term is defined in the "Privacy Rule" enacted by the Health Insurance Portability and Accountability Act of 1996 (HIPAA). As a HIPAA Business Associate, vendor may use or disclose protected health information ("PHI") to perform functions, activities or services for or on behalf of County as specified by the County, provided that such use or disclosure shall not violate HIPAA and its implementing regulations. The uses and disclosures of PHI may not be more expansive than those applicable to County, as the "Covered Entity" under HIPAA's Privacy Rule, except as authorized for management, administrative or legal responsibilities of the Business Associate.

Vendor shall not use or further disclose PHI other than as permitted or required by the County, or as required by law without written notice to the County.

Vendor shall ensure that any agent, including any subcontractor, to which vendor provides PHI received from, or created or received by the vendor on behalf of County, shall comply with the same restrictions and conditions with respect to such information.

### 18. APPEALS:

Appeals must be submitted in writing within seven (7) working days after notification of proposed recommendations for award. A "Notice of Award" is not an indication of County's acceptance of an offer made in response to this RFP/RFQ. Appeals should be submitted to County of Fresno Purchasing, 4525 E. Hamilton Avenue, Fresno, California 93702-4599 and in Word format to [gcornuelle@co.fresno.ca.us](mailto:gcornuelle@co.fresno.ca.us). Appeals should address only areas regarding RFP/RFQ contradictions, procurement errors, quotation rating discrepancies, legality of procurement context, conflict of interest, and inappropriate or unfair competitive procurement grievance regarding the RFP/RFQ process.

Purchasing will provide a written response to the complainant within seven (7) working days unless the complainant is notified more time is required.

If the protesting bidder is not satisfied with the decision of Purchasing, he/she shall have the right to appeal to the Purchasing Agent/CAO within seven (7) working days after Purchasing's notification; except if, notified to appeal directly to the Board of Supervisors at the scheduled date and time.

If the protesting bidder is not satisfied with Purchasing Agent/CAO's decision, the final appeal is with the Board of Supervisors.

### 19. OBLIGATIONS OF CONTRACTOR:

- A) CONTRACTOR shall perform as required by the ensuing contract. CONTRACTOR also warrants on behalf of itself and all subcontractors engaged for the performance of the ensuing contract that only persons authorized to work in the United States pursuant to the Immigration Reform and Control Act of 1986 and other applicable laws shall be employed in the performance of the work hereunder.
- B) CONTRACTOR shall obey all Federal, State, local and special district laws, ordinances and regulations.

### 20. AUDITS & RETENTION:

The Contractor shall maintain in good and legible condition all books, documents, papers, data files and other records related to its performance under this contract. Such records shall be complete and available to Fresno County, the State of California, the federal government or their duly authorized representatives for the purpose of audit, examination, or copying during the term of the contract and for a period of at least three (3) years following the County's final payment under the contract or until conclusion of any pending matter (e.g., litigation or audit), whichever is later. Such records must be retained in the manner described above until all pending matters are closed.

### 21. DISCLOSURE – CRIMINAL HISTORY & CIVIL ACTIONS:

Applies to Request for Proposal (RFP); does not apply to Request for Quotation (RFQ) unless specifically stated elsewhere in the RFQ document.

In their proposal, the bidder is required to disclose if any of the following conditions apply to them, their owners, officers, corporate managers and partners (hereinafter collectively referred to as "Bidder"):

- Within the three-year period preceding the proposal, they have been convicted of, or had a civil judgment rendered against them for:
  - fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction;
  - violation of a federal or state antitrust statute;
  - embezzlement, theft, forgery, bribery, falsification, or destruction of records; or
  - false statements or receipt of stolen property

Within a three-year period preceding their proposal, they have had a public transaction (federal, state, or local) terminated for cause or default.

### 22. DATA SECURITY:

Individuals and/or agencies that enter into a contractual relationship with the COUNTY for the purpose of providing services must employ adequate controls and data security measures, both internally and externally to ensure and protect the confidential information and/or data provided to contractor by the COUNTY, preventing the potential loss, misappropriation or inadvertent access, viewing, use or disclosure of COUNTY data including sensitive or personal client information; abuse of COUNTY resources; and/or disruption to COUNTY operations.

Individuals and/or agencies may not connect to or use COUNTY networks/systems via personally owned mobile, wireless or handheld devices unless authorized by COUNTY for telecommuting purposes and provide a secure connection; up to date virus protection and mobile devices must have the remote wipe feature enabled. Computers or computer peripherals including mobile storage devices may not be used (COUNTY or Contractor device) or brought in for use into the COUNTY's system(s) without prior authorization from COUNTY's Chief Information Officer and/or designee(s).

No storage of COUNTY's private, confidential or sensitive data on any hard-disk drive, portable storage device or remote storage installation unless encrypted according to advance encryption standards (AES of 128 bit or higher).

The COUNTY will immediately be notified of any violations, breaches or potential breaches of security related to COUNTY's confidential information,

## Proposal No. 952-5411

data and/or data processing equipment which stores or processes COUNTY data, internally or externally.

COUNTY shall provide oversight to Contractor's response to all incidents arising from a possible breach of security related to COUNTY's confidential client information. Contractor will be responsible to issue any notification to affected individuals as required by law or as deemed necessary by COUNTY in its sole discretion. Contractor will be responsible for all costs incurred as a result of providing the required notification.

### 23. PURCHASING LOCATION & HOURS:

Fresno County Purchasing is located at 4525 E. Hamilton Avenue (**second floor**), Fresno, CA 93702. Non-holiday hours of operation are Monday through Friday, 8:00 A.M. to 12:00 Noon and 1:00 P.M. to 5:00 P.M. PST; Purchasing is closed daily from 12:00 Noon to 1:00 P.M. The following holiday office closure schedule is observed:

January 1*	New Year's Day
Third Monday in January	Martin Luther King, Jr.'s Birthday
Third Monday in February	Washington - Lincoln Day
March 31*	Cesar Chavez' Birthday
Last Monday in May	Memorial Day
July 4*	Independence Day
First Monday in September	Labor Day
November 11*	Veteran's Day
Fourth Thursday in November	Thanksgiving Day
Friday following Thanksgiving	
December 25*	Christmas

\* When this date falls on a Saturday, the holiday is observed the preceding Friday. If the date falls on a Sunday, the holiday is observed the following Monday.

### 24. FRESNO COUNTY BOARD OF SUPERVISORS ADMINISTRATIVE POLICIES:

#### ADMINISTRATIVE POLICY NUMBER 5

##### Contract Salary Limitation Fresno

County Administrative Policy No. 5 provides that in contracts with non-profit organizations that primarily serve Fresno County and professional service contracts where Fresno County is the sole client, the contractors must agree to the following contract language: "The contractor agrees to limit administrative cost to a maximum of 15% of the total program budget and to limit employee benefits to a maximum of 20% of total salaries for those employees working under this agreement during the term of the agreement. Failure to conform to this provision will be grounds for contract termination at the option of the County of Fresno." Any bidder that wishes an exemption from this contract requirements must set forth the request for exemption, as well as a complete explanation of why the exemption should be granted, in the bidder's response to the RFP. Only the Board of Supervisors can approve such exemption.

**Policy Statement:** Contractors shall be limited to a maximum 15% administrative cost as compared to the total program budget and employee

benefits shall be limited to a maximum of 20% of salaries.

The following language will be included in each applicable contract:

"The contractor agrees to limit administrative cost to a maximum of 15% of the total program budget and to limit employee benefits to a maximum of 20% of total salaries for those employees working under this agreement during the term of this agreement. Failure to conform to this provision will be grounds for contract termination at the option of the County of Fresno."

The above provision shall be applied to renewal or multi-year contracts with non-profit organizations which primarily serve Fresno County and professional services contracts where

Fresno County is the sole client, such as:

- Community based organization service contracts related to social services, health services, or probation services.
- Cultural art program contracts.
- Professional services contracts.

This policy will not apply to contracts between the County and the Federal or State governments; or one-time contracts. The Board of Supervisors will consider exemptions to this policy only upon the recommendation of the County Administrative Office.

**Management Responsibility:** It shall be the responsibility of any County official authorized by the Board of Supervisors to execute contracts or enter into agreements on behalf of the County to review all applicable contracts to insure that this policy is fully enforced.

It shall be the responsibility of the County Administrative Officer to review requests for exemptions to this policy and to make recommendations to the Board of Supervisors on such requests for exemption.

#### ADMINISTRATIVE POLICY NUMBER 34

##### Competitive Bids and Requests for Proposals

Fresno County Administrative Policy No. 34 provides that no person, firm or subsidiary thereof who has been awarded a consulting services contract by the County, may submit a bid for, or be awarded a contract for, the provision of services, procurement of goods or supplies, or any other related action which is required, suggested, or otherwise deemed appropriate in the end product of the consulting services contract. Any bidder that wishes an exemption from this contract limitation must set forth the request for exemption, as well as a complete explanation of why the exemption should be granted, in the bidder's response to the RFP. Only the Board of Supervisors, on a four-fifths (4/5) vote finding that such waiver is in the best interests of the County, can waive this policy.

**Definitions:** Purchasing Authority and Responsibility – In accordance with the State of California Government Code Section 25500 et seq., and the Fresno County Ordinance Code Chapter 2.56, the Board of Supervisors has established a County Purchasing Agent. The Board has designated the County Administrative Officer as the Purchasing Agent. Unless otherwise restricted, all necessary authority and responsibility has been delegated to the Purchasing Agent to satisfy the acquisition requirements of the County. The Purchasing Agent may defer day-to-day acquisition management to the Purchasing Manager as appropriate.

**Policy Statement:** Competitive bids or requests for proposals shall be secured for all contracts for goods or services which are proposed to be acquired by the County except when in unusual or extraordinary circumstances, a department head, requests an exception to competitive bidding. All such requests must be documented by the department head

## Proposal No. 952-5411

including a detailed description of the facts justifying the exception. The request must receive concurrence of the procurement authority who will sign that particular contract i.e. the Board of Supervisors or Purchasing Agent/Purchasing Manager. The following circumstances are examples which constitute "Suspension of Competition":

- In an emergency when goods or services are immediately necessary for the preservation of the public health, welfare, or safety, or for the protection of County property.
- When the contract is with a federal, state, or local governmental agency.
- When the department head, with the concurrence of the Purchasing Agent, finds that the cost of preparing and administering a competitive bidding process in a particular case will equal or exceed the estimated contract amount or \$1,000 whichever is more.
- When a contract provides only for payment of per diem and travel expenses and there is to be no payment for services rendered.
- When obtaining the services of expert witnesses for litigation or special counsel to assist the County.
- When in unusual or extraordinary circumstances, the Board of Supervisors or the Purchasing Agent/Purchasing Manager determines that the best interests of the County would be served by not securing competitive bids or issuing a request for proposal.

Contracts for services should not usually cover a period of more than one year although a longer period may be approved in unusual circumstances. Multiple year contracts must include provisions for early termination and must be contingent on available funding. Unless exempted as provided for above, no contract for service shall extend, either by original contract or by extension, beyond three years unless competitive bids have been sought or a Request for Proposal has been processed.

During any competitive bidding procedure, all bids shall be opened publicly and the dollar amount of each bid shall be read aloud. Under no circumstance shall a bid which is received at the designated place of opening after the closing time be opened or considered.

Contracts for goods or services shall not be effective until approved by the Board of Supervisors or, if appropriate, the Purchasing Agent/Purchasing Manager. Contractors and vendors shall be advised by the responsible department head that performance under the contract may not commence prior to such approval.

### Medical Professional Contracts

The competitive recruitment process, annual performance evaluation, and periodic salary surveys are equivalent to competitive bids for independent physicians contracting with the County on a fee for service basis.

A salary survey for physician services shall be conducted every two years.

Contracts for physician services shall not extend, either by original contract or by extension, beyond five years unless competitive bids have been sought or unless exempted as provided above.

### Contracts for Legal Services

The competitive recruitment process, annual performance evaluation, and periodic salary surveys are equivalent to competitive bids for independent law firms and attorneys contracting with the County on a fee for service basis.

A salary survey for legal services shall be conducted every two years.

The selection of and contracting with firms to provide legal services shall be coordinated through the County Counsel's Office. The County Counsel shall

assist in securing a law firm with the requisite legal expertise and price structure that would provide the best service to the County. County Counsel shall be involved throughout the process of selecting a firm, developing a contract, and monitoring the billing and services provided throughout the contract period.

### Prohibited Bids Concerning End Product of Consulting Contracts

No person, firm, or subsidiary thereof who has been awarded a consulting services contract by the County, shall be awarded a contract for the provision of services, procurement of goods or supplies, or any other related action which is required, suggested, or otherwise deemed appropriate in the end product of the consulting services contract. This policy may be waived by the Board of Supervisors on a four-fifths (4/5) vote finding that such waiver is in the best interests of the County.

**Management Responsibility:** The County Administrative Officer is responsible for preparing and issuing written procedures to assure compliance with this policy by all County officials and departments.

## ADMINISTRATIVE POLICY NUMBER 71

### Prohibiting the Use of Public Funds for Political Advocacy

**Fresno County Administrative Policy No. 71** provides that no County assets, including money, shall be used for political campaigns of any type. Political campaigns are defined as political advocacy for or opposition to a matter or person that has qualified for the ballot. No contract entered into by the County shall provide for use of County monies for political campaigns.

**Policy Statement:** Government assets, including money, grant funds, paid staff time, equipment and supplies, facilities or any other government asset shall not be used for political campaigns of any type. Political campaigns are defined as political advocacy for or opposition to a matter or person that has qualified for the ballot.

**Management Responsibility:** Department Heads shall be held responsible for ensuring that government assets within their control are not used to advocate for or against any matter or person that has qualified for the ballot.

This section does not prohibit the expenditure of government assets to create and provide informational or educational materials regarding a matter that has qualified for the ballot. Such information or educational materials shall provide a fair, accurate and impartial presentation of relevant information relating to the matter that has qualified for the ballot. However, government assets shall not be expended to create and provide such informational or educational materials in the 90 days prior to the election unless specifically authorized by the Board of Supervisors or required by the Public Records Act or other law.

# TABLE OF CONTENTS

	<u>PAGE</u>
OVERVIEW .....	3
KEY DATES .....	3
TRADE SECRET ACKNOWLEDGEMENT .....	5
DISCLOSURE – CRIMINAL HISTORY & CIVIL ACTIONS .....	7
REFERENCE LIST .....	10
PARTICIPATION .....	11
GENERAL REQUIREMENTS.....	12
BIDDING INSTRUCTIONS AND REQUIREMENTS .....	18
SCOPE OF WORK.....	20
PROGRAM OUTCOMES .....	22
COST PROPOSAL.....	23
PROPOSAL CONTENT REQUIREMENTS.....	25
AWARD CRITERIA .....	28
CHECK LIST .....	29
EXHIBIT .....	30

## OVERVIEW

The County of Fresno on behalf of the Department of Behavioral Health (DBH) is requesting proposals from qualified vendors to provide the following: Mental Health Services Act (MHSA) funded Consumer/Family Advocacy services including advocacy, support, and other services to consumers and families receiving or in need of mental health services; and to act as liaison between the consumers, family members, other support systems and the County's professional staff and other community groups/organizations. These services will also include: engaging community leaders, consumers, and family members to further develop and promote culturally and linguistically appropriate services and continuing the transformation of the mental health system to better meet the needs of consumers/families and the community. Further details of the vendor requirements are listed in the Scope of Work section of this Request for Proposal (RFP).

This contract is effective July 1, 2016 through June 30, 2019, with 2 additional 12-month terms (July 1, 2019 through June 30, 2020 and July 1, 2020 through June 30, 2021) subject to funding availability, satisfactory performance, and service needs.

Target populations for this RFP include unserved and underserved children/youth, transitional age youth, adults, and older adults and their families residing in rural and metropolitan areas of Fresno County who are experiencing a first break in mental illness.

The County may award MHSA funds (approximately \$113,568 for FY 2016/17) to more than one agency, each agency requesting a portion of the funds to provide services to one or more of the target populations. Current funding allocations shall provide for approximately 60% family support and 40% outreach, education and advocacy services.

Examples of how funds may be awarded:

- A. Full Award - agency to serve all target populations identified as linguistically and culturally diverse, unserved and underserved.
- B. Partial Award - agency to serve a specified target population.

This RFP focuses on securing Consumer/Family Advocacy services and coordination of supportive collaborations to provide support, education, and empowerment to consumers with a serious mental illness (SMI) or severe emotional disorder (SED) and their families. The selected vendor(s) will demonstrate: previous experience in advocacy, support, and liaison services; knowledge of applicable laws and community resources; and a basic understanding of the needs, problems, and behaviors of consumers who have a serious mental illness, including the needs and problems faced by their family members. The selected vendor(s) will work in cooperation with local, state, and federal agencies to provide advocacy and support as needed to members of the Fresno County community to assist in navigating through the public mental health system.

The selected vendor(s) must be familiar with MHSA provisions, including but not limited to State MHSA regulations, policy interpretation, and definition. Prospective bidders can view the State's MHSA policies, procedures and other information at [http://www.dhcs.ca.gov/services/mh/Pages/MH\\_Prop63.aspx](http://www.dhcs.ca.gov/services/mh/Pages/MH_Prop63.aspx). The selected vendor(s) must be thoroughly familiar, and have knowledge and understanding of Fresno County's ethnically and culturally diverse population. Selected vendor(s) will reach rural underserved and un-served, existing, and new consumers and family members who receive services through Fresno County DBH MHSA and/or contract providers. Information regarding the Mental Health Services Act Plan can be obtained at [www.co.fresno.ca.us/mhsa](http://www.co.fresno.ca.us/mhsa).



## KEY DATES

<b>RFP Issue Date:</b>	<b>December 31, 2015</b>
<b>Vendor Conference:</b> <i>Vendors are to contact Gary E. Cornuelle at (559) 600-7114 if planning to attend vendor conference.</i>	<b>January 13, 2016 at 10:00 A.M.</b> County of Fresno Purchasing 4525 E. Hamilton Avenue, 2 <sup>nd</sup> Floor Fresno, CA 93702
<b>Deadline for Written Requests for Interpretations or Corrections of RFP:</b>	<b>January 19, 2016 at 10:00 A.M.</b> E-Mail: <a href="mailto:gcornuelle@co.fresno.ca.us">gcornuelle@co.fresno.ca.us</a>
<b>RFP Closing Date:</b>	<b>February 4, 2016 at 2:00 P.M.</b> County of Fresno Purchasing 4525 E. Hamilton Avenue, 2 <sup>nd</sup> Floor Fresno, CA 93702

## TRADE SECRET ACKNOWLEDGEMENT

All proposals received by the County shall be considered "Public Record" as defined by Section 6252 of the California Government Code. This definition reads as follows:

"...Public records" includes any writing containing information relating to the conduct of the public's business prepared, owned, used or retained by any state or local agency regardless of physical form or characteristics "Public records" in the custody of, or maintained by, the Governor's office means any writing prepared on or after January 6, 1975."

Each proposal submitted is Public record and is therefore subject to inspection by the public per Section 6253 of the California Government Code. This section states that "every person has a right to inspect any public record".

The County will not exclude any proposal or portion of a proposal from treatment as a public record except in the instance that it is submitted as a trade secret as defined by the California Government Code. Information submitted as proprietary, confidential or under any other such terms that might suggest restricted public access will not be excluded from treatment as public record.

"Trade secrets" as defined by Section 6254.7 of the California Government Code are deemed not to be public record. This section defines trade secrets as:

"...Trade secrets," as used in this section, may include, but are not limited to, any formula, plan, pattern, process, tool, mechanism, compound, procedure, production data or compilation of information that is not patented, which is known only to certain individuals within a commercial concern who are using it to fabricate, produce, or compound an article of trade or a service having commercial value and which gives its user an opportunity to obtain a business advantage over competitors who do not know or use it."

Information identified by bidder as "trade secret" will be reviewed by County of Fresno's legal counsel to determine conformance or non-conformance to this definition. Such material should be submitted in a separate binder marked "Trade Secret". Examples of material not considered to be trade secrets are pricing, cover letter, promotional materials, etc.

**INFORMATION THAT IS PROPERLY IDENTIFIED AS TRADE SECRET AND CONFORMS TO THE ABOVE DEFINITION WILL NOT BECOME PUBLIC RECORD. COUNTY WILL SAFEGUARD THIS INFORMATION IN AN APPROPRIATE MANNER.**

Information identified by bidder as trade secret and determined not to be in conformance with the California Government Code definition shall be excluded from the proposal. Such information will be returned to the bidder at bidder's expense upon written request.

Trade secrets must be submitted in a separate binder that is plainly marked "Trade Secrets."

The County shall not in any way be liable or responsible for the disclosure of any proposals or portions thereof, if they are not (1) submitted in a separate binder that is plainly marked "Trade Secret" on the outside; and (2) if disclosure is required or allowed under the provision of law or by order of Court.

Vendors are advised that the County does not wish to receive trade secrets and that vendors are not to supply trade secrets unless they are absolutely necessary.

# TRADE SECRET ACKNOWLEDGEMENT

I have read and understand the above "Trade Secret Acknowledgement."

I understand that the County of Fresno has no responsibility for protecting information submitted as a trade secret if it is not delivered in a separate binder plainly marked "Trade Secret." I also understand that all information my company submits, except for that information submitted in a separate binder plainly marked "Trade Secret," are public records subject to inspection by the public. This is true no matter whether my company identified the information as proprietary, confidential or under any other such terms that might suggest restricted public access.

Enter company name on appropriate line:

	Has submitted information identified as Trade Secrets in a separate marked binder.**
(Company Name)	
	Has <b>not</b> submitted information identified as Trade Secrets. Information submitted as proprietary confidential or under any other such terms that might suggest restricted public access will not be excluded from treatment as public record.
(Company Name)	

## ACKNOWLEDGED BY:

( )		
Signature (In Blue Ink)	Telephone	
Print Name and Title	Date	
Address		
City	State	Zip

\*\*Bidders brief statement that clearly sets out the reasons for confidentiality in conforming with the California Government Code definition.

---



---



---



---

## DISCLOSURE – CRIMINAL HISTORY & CIVIL ACTIONS

In their proposal, the bidder is required to disclose if any of the following conditions apply to them, their owners, officers, corporate managers and partners (hereinafter collectively referred to as "Bidder"):

- Within the three-year period preceding the proposal, they have been convicted of, or had a civil judgment rendered against them for:
  - fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction;
  - violation of a federal or state antitrust statute;
  - embezzlement, theft, forgery, bribery, falsification, or destruction of records; or
  - false statements or receipt of stolen property
- Within a three-year period preceding their proposal, they have had a public transaction (federal, state, or local) terminated for cause or default.

Disclosure of the above information will not automatically eliminate a Bidder from consideration. The information will be considered as part of the determination of whether to award the contract and any additional information or explanation that a Bidder elects to submit with the disclosed information will be considered. If it is later determined that the Bidder failed to disclose required information, any contract awarded to such Bidder may be immediately voided and terminated for material failure to comply with the terms and conditions of the award.

Any Bidder who is awarded a contract must sign an appropriate Certification Regarding Debarment, Suspension, and Other Responsibility Matters. Additionally, the Bidder awarded the contract must immediately advise the County in writing if, during the term of the agreement: (1) Bidder becomes suspended, debarred, excluded or ineligible for participation in federal or state funded programs or from receiving federal funds as listed in the excluded parties list system (<http://www.epls.gov>); or (2) any of the above listed conditions become applicable to Bidder. The Bidder will indemnify, defend and hold the County harmless for any loss or damage resulting from a conviction, debarment, exclusion, ineligibility or other matter listed in the signed Certification Regarding Debarment, Suspension, and Other Responsibility Matters.

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER  
RESPONSIBILITY MATTERS--PRIMARY COVERED TRANSACTIONS**

INSTRUCTIONS FOR CERTIFICATION

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

**CERTIFICATION**

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it, its owners, officers, corporate managers and partners:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
  - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Signature:  
(in blue ink)

Date:

---

---

---

(Printed Name & Title)

---

(Name of Agency or Company)

**VENDOR MUST COMPLETE AND RETURN WITH REQUEST FOR PROPOSAL**

Firm: \_\_\_\_\_

**REFERENCE LIST**

Provide a list of at least five (5) customers for whom you have recently provided similar services. Be sure to include all requested information.

Reference Name: \_\_\_\_\_ Contact: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
 Phone No.: ( \_\_\_\_\_ ) \_\_\_\_\_ Date: \_\_\_\_\_  
 Service Provided: \_\_\_\_\_

Reference Name: \_\_\_\_\_ Contact: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
 Phone No.: ( \_\_\_\_\_ ) \_\_\_\_\_ Date: \_\_\_\_\_  
 Service Provided: \_\_\_\_\_

Reference Name: \_\_\_\_\_ Contact: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
 Phone No.: ( \_\_\_\_\_ ) \_\_\_\_\_ Date: \_\_\_\_\_  
 Service Provided: \_\_\_\_\_

Reference Name: \_\_\_\_\_ Contact: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
 Phone No.: ( \_\_\_\_\_ ) \_\_\_\_\_ Date: \_\_\_\_\_  
 Service Provided: \_\_\_\_\_

Reference Name: \_\_\_\_\_ Contact: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_  
 Phone No.: ( \_\_\_\_\_ ) \_\_\_\_\_ Date: \_\_\_\_\_  
 Service Provided: \_\_\_\_\_

***Failure to provide a list of at least five (5) customers may be cause for rejection of this RFP.***

## PARTICIPATION

The County of Fresno is a member of the Central Valley Purchasing Group. This group consists of Fresno, Kern, Kings, and Tulare Counties and all governmental, tax supported agencies within these counties.

Whenever possible, these and other tax supported agencies co-op (piggyback) on contracts put in place by one of the other agencies.

Any agency choosing to avail itself of this opportunity, will make purchases in their own name, make payment directly to the contractor, be liable to the contractor and vice versa, per the terms of the original contract, all the while holding the County of Fresno harmless. If awarded this contract, please indicate whether you would extend the same terms and conditions to all tax supported agencies within this group as you are proposing to extend to Fresno County. \* **Note: This form/information is not rated or ranked for evaluation purposes.**

☐

Yes, we will extend contract terms and conditions to all qualified agencies within the Central Valley Purchasing Group and other tax supported agencies.

☐

No, we will not extend contract terms to any agency other than the County of Fresno.

---

(Authorized Signature in Blue Ink)

---

Title



## GENERAL REQUIREMENTS

**DEFINITIONS:** The terms Bidder, Proposer, Contractor, and Vendor are all used interchangeably and refer to that person, partnership, corporation, organization, agency, etc. which is offering the proposal.

**LOCAL VENDOR PREFERENCE:** The Local Vendor Preference **does not** apply to this Request for Proposal.

**RFP CLARIFICATION AND REVISIONS:** Any revisions to the RFP will be issued and distributed as written addenda.

**FIRM PROPOSAL:** All proposals shall remain firm for at least one hundred eighty (180) days.

**PROPOSAL PREPARATION:** Proposals should be submitted in the formats shown under "PROPOSAL CONTENT REQUIREMENTS" section of this RFP.

County of Fresno will not be held liable or any cost incurred by bidders responding to RFP.

Bidders are to bid what is specified or requested first. If unable to or unwilling to, bidder may bid alternative or option, indicating all advantages, disadvantages and their associated cost.

**SUPPORTIVE MATERIAL:** Additional material may be submitted with the proposal as appendices. Any additional descriptive material that is used in support of any information in your proposal must be referenced by the appropriate paragraph(s) and page number(s).

Bidders are asked to submit their proposals in a binder (one that allows for easy removal of pages) with index tabs separating the sections identified in the Table of Contents. Pages must be numbered on the bottom of each page.

Any proposal attachments, documents, letters and materials submitted by the vendor shall be binding and included as a part of the final contract should your bid be selected.

**TAXES:** The quoted amount must include all applicable taxes. If taxes are not specifically identified in the proposal it will be assumed that they are included in the total quoted.

**SALES TAX:** Fresno County pays California State Sales Tax in the amount of 8.225% regardless of vendor's place of doing business.

**RETENTION:** County of Fresno reserves the right to retain all proposals, excluding proprietary documentation submitted per the instructions of this RFP, regardless of which response is selected.

**ORAL PRESENTATIONS:** Each finalist may be required to make an oral presentation in Fresno County and answer questions from County personnel.

**AWARD/REJECTION:** The award will be made to the vendor offering the overall proposal deemed to be to the best advantage of the County. The County shall be the sole judge in making such determination. The County reserves the right to reject any and all proposals. The lowest bidders are not arbitrarily the vendors whose proposals will be selected. Award Notices are tentative: Acceptance of an offer made in response to this RFP shall occur only upon execution of an agreement by both parties or issuance of a valid written Purchase Order by Fresno County Purchasing.

County Purchasing will chair or co-chair all award, evaluation and contract negotiation committees.

Award may require approval by the County of Fresno Board of Supervisors.

**WAIVERS:** The County reserves the right to waive any informalities or irregularities and any technical or clerical errors in any quote as the interest of the County may require.

**TERMINATION:** The County reserves the right to terminate any resulting contract upon written notice.

**MINOR DEVIATIONS:** The County reserves the right to negotiate minor deviations from the prescribed terms, conditions and requirements with the selected vendor.

**PROPOSAL REJECTION:** Failure to respond to all questions or not to supply the requested information could result in rejection of your proposal.

**ASSIGNMENTS:** The ensuing proposed contract will provide that the vendor may not assign any payment or portions of payments without prior written consent of the County of Fresno.

**BIDDERS LIABILITIES:** County of Fresno will not be held liable for any cost incurred by vendors in responding to the RFP.

**CONFIDENTIALITY:** Bidders shall not disclose information about the County's business or business practices and safeguard confidential data which vendor staff may have access to in the course of system implementation.

**DISPUTE RESOLUTION:** The ensuing contract shall be governed by the laws of the State of California.

Any claim which cannot be amicably settled without court action will be litigated in the U. S. District Court for the Eastern District of California in Fresno, CA or in a state court for Fresno County.

**NEWS RELEASE:** Vendors shall not issue any news releases or otherwise release information to any third party about this RFP or the vendor's quotation without prior written approval from the County of Fresno.

**BACKGROUND REVIEW:** The County reserves the right to conduct a background inquiry of each proposer/bidder which may include collection of appropriate criminal history information, contractual and business associations and practices, employment histories and reputation in the business community. By submitting a proposal/bid to the County, the vendor consents to such an inquiry and agrees to make available to the County such books and records the County deems necessary to conduct the inquiry.

**PERFORMANCE BOND:** The successful bidders may be required to furnish a faithful performance bond. Bidders are to quote a separate price for a performance bond.

**ACQUISITIONS:** The County reserves the right to obtain the whole system/services/goods as proposed or only a portion of the system/services/goods, or to make no acquisition at all.

**OWNERSHIP:** The successful vendor will be required to provide to the County of Fresno documented proof of ownership by the vendor, or its designated subcontractor, upon request of the proposed programs/services/goods.

**EXCEPTIONS:** Identify with explanation, any terms, conditions, or stipulations of the RFP with which you *CAN NOT* or *WILL NOT* comply.

**ADDENDA:** In the event that it becomes necessary to revise any part of this RFP, addenda will be provided to all agencies and organizations that receive the basic RFP.

**SUBCONTRACTORS:** If a subcontractor is proposed, complete identification of the subcontractor and his tasks should be provided. The primary contractor is not relieved of any responsibility by virtue of using a subcontractor.

**CONFLICT OF INTEREST:** The County shall not contract with, and shall reject any bid or proposal submitted by the persons or entities specified below, unless the Board of Supervisors finds that special circumstances exist which justify the approval of such contract:

1. Employees of the County or public agencies for which the Board of Supervisors is the governing body.
2. Profit-making firms or businesses in which employees described in Subsection (1) serve as officers, principals, partners or major shareholders.
3. Persons who, within the immediately preceding twelve (12) months, came within the provisions of Subsection (1), and who were employees in positions of substantial responsibility in the area of service to

be performed by the contract, or participated in any way in developing the contract or its service specifications.

4. Profit-making firms or businesses in which the former employees described in Subsection (3) serve as officers, principals, partners or major shareholders.
5. No County employee, whose position in the County enables him to influence the selection of a contractor for this RFP, or any competing RFP, and no spouse or economic dependent of such employee, shall be employees in any capacity by a bidder, or have any other direct or indirect financial interest in the selection of a contractor.
6. In addition, no County employee will be employed by the selected vendor to fulfill the vendor's contractual obligations to the County.

**ORDINANCE 3.08.130 – POST-SEPARATION EMPLOYMENT PROHIBITED:** No officer or employee of the County who separates from County service shall for a period of one year after separation enter into any employment, contract, or other compensation arrangement with any County consultant, vendor, or other County provider of goods, materials, or services, where the officer or employee participated in any part of the decision making process that led to the County relationship with the consultant, vendor or other County provider of goods, materials or services.

Pursuant to Government Code section 25132(a), a violation of the ordinance may be enjoined by an injunction in a civil lawsuit, or prosecuted as a criminal misdemeanor.

**EVALUATION CRITERIA:** Respondents will be evaluated on the basis of their responses to all questions and requirements in this RFP and product cost. The County shall be the sole judge in the ranking process and reserves the right to reject any or all bids. False, incomplete or unresponsive statements in connection with this proposal may be sufficient cause for its rejection.

**SELECTION PROCESS:** All proposals will be evaluated by a team consisting of representatives from appropriate County Department(s), and Purchasing. It will be their responsibility to make the final recommendations. Purchasing will chair or co-chair the evaluation or evaluation process.

Organizations that submit a proposal may be required to make an oral presentation to the Selection Committee. These presentations provide an opportunity for the individual, agency, or organization to clarify its proposal to ensure thorough, mutual understanding.

**INDEPENDENT CONTRACTOR:** In performance of the work, duties, and obligations assumed by Contractor under any ensuing Agreement, it is mutually understood and agreed that Contractor, including any and all of Contractor's officers, agents, and employees will at all times be acting and performing as an independent contractor, and shall act in an independent capacity and not as an officer, agent, servant, employee, joint venture, partner, or associate of the County. Furthermore, County shall have no right to control, supervise, or direct the manner or method by which Contractor shall perform its work and function. However, County shall retain the right to administer this Agreement so as to verify that Contractor is performing its obligations in accordance with the terms and conditions thereof. Contractor and County shall comply with all applicable provisions of law and the rules and regulations, if any, of governmental authorities having jurisdiction over matters the subject thereof.

Because of its status as an independent contractor, Contractor shall have absolutely no right to employment rights and benefits available to County employees. Contractor shall be solely liable and responsible for providing to, or on behalf of, its employees all legally required employee benefits. In addition, Contractor shall be solely responsible and save County harmless from all matters relating to payment of Contractor's employees, including compliance with Social Security, withholding, and all other regulations governing such matters. It is acknowledged that during the term of the Agreement, Contractor may be providing services to others unrelated to the COUNTY or to the Agreement.

**HOLD HARMLESS CLAUSE:** Contractor agrees to indemnify, save, hold harmless and at County's request, defend the County, its officers, agents and employees, from any and all costs and expenses, damages, liabilities, claims and losses occurring or resulting to County in connection with the performance, or failure to perform, by Contractor, its officers, agents or employees under this Agreement and from any and all costs and

expenses, damages, liabilities, claims and losses occurring or resulting to any person, firm or corporation who may be injured or damaged by the performance, or failure to perform, of Contractor, its officers, agents or employees under this Agreement.

**SELF-DEALING TRANSACTION DISCLOSURE:** Contractor agrees that when operating as a corporation (a for-profit or non-profit corporation), or if during the term of the agreement the Contractor changes its status to operate as a corporation, members of the Contractor's Board of Directors shall disclose any self-dealing transactions that they are a party to while Contractor is providing goods or performing services under the agreement with the County. A self-dealing transaction shall mean a transaction to which the Contractor is a party and in which one or more of its directors has a material financial interest. Members of the Board of Directors shall disclose any self-dealing transactions that they are a party to by completing and signing a Fresno County Self-Dealing Transaction Disclosure Form and submitting it to the County prior to commencing with the self-dealing transaction or immediately thereafter.

**PRICE RESPONSIBILITY:** The selected vendor will be required to assume full responsibility for all services and activities offered in the proposal, whether or not they are provided directly. Further, the County of Fresno will consider the selected vendor to be the sole point of contact with regard to contractual matters, including payment of any and all charges resulting from the contract. The contractor may not subcontract or transfer the contract, or any right or obligation arising out of the contract, without first having obtained the express written consent of the County.

**ADDRESSES AND TELEPHONE NUMBERS:** The vendor will provide the business address and mailing address, if different, as well as the telephone number of the individual signing the contract.

**ASSURANCES:** Any contract awarded under this RFP must be carried out in full compliance with The Civil Rights Act of 1964, The Americans With Disabilities Act of 1990, their subsequent amendments, and any and all other laws protecting the rights of individuals and agencies. The County of Fresno has a zero tolerance for discrimination, implied or expressed, and wants to ensure that policy continues under this RFP. The contractor must also guarantee that services, or workmanship, provided will be performed in compliance with all applicable local, state, or federal laws and regulations pertinent to the types of services, or project, of the nature required under this RFP. In addition, the contractor may be required to provide evidence substantiating that their employees have the necessary skills and training to perform the required services or work.

**INSURANCE:** Without limiting the COUNTY's right to obtain indemnification from CONTRACTOR or any third parties, CONTRACTOR, at its sole expense, shall maintain in full force and effect, the following insurance policies or a program of self-insurance, including but not limited to, an insurance pooling arrangement or Joint Powers Agreement (JPA) throughout the term of the Agreement:

- A. Commercial General Liability: Commercial General Liability Insurance with limits of not less than One Million Dollars (\$1,000,000) per occurrence and an annual aggregate of Two Million Dollars (\$2,000,000). This policy shall be issued on a per occurrence basis. COUNTY may require specific coverages including completed operations, products liability, contractual liability, Explosion-Collapse-Underground, fire legal liability or any other liability insurance deemed necessary because of the nature of this contract.
- B. Automobile Liability: Comprehensive Automobile Liability Insurance with limits for bodily injury of not less than Two Hundred Fifty Thousand Dollars (\$250,000.00) per person, Five Hundred Thousand Dollars (\$500,000.00) per accident and for property damages of not less than Fifty Thousand Dollars (\$50,000.00), or such coverage with a combined single limit of Five Hundred Thousand Dollars (\$500,000.00). Coverage should include owned and non-owned vehicles used in connection with this Agreement.
- C. Professional Liability: If CONTRACTOR employs licensed professional staff, (e.g., Ph.D., R.N., L.C.S.W., M.F.C.C.) in providing services, Professional Liability Insurance with limits of not less than One Million Dollars (\$1,000,000.00) per occurrence, Three Million Dollars (\$3,000,000.00) annual aggregate.  
  
This coverage shall be issued on a per claim basis. Contractor agrees that it shall maintain, at its sole expense, in full force and effect for a period of three (3) years following the termination of this Agreement, one or more policies of professional liability insurance with limits of coverage as specified herein.
- D. Worker's Compensation: A policy of Worker's Compensation insurance as may be required by the California Labor Code.

Contractor shall obtain endorsements to the Commercial General Liability insurance naming the County of Fresno, its officers, agents, and employees, individually and collectively, as additional insured, but only insofar as the operations under this Agreement are concerned. Such coverage for additional insured shall apply as primary insurance and any other insurance, or self-insurance, maintained by County, its officers, agents and employees shall be excess only and not contributing with insurance provided under Contractor's policies herein. This insurance shall not be cancelled or changed without a minimum of thirty (30) days advance written notice given to County.

Within thirty (30) days from the date Contractor executes this Agreement, Contractor shall provide certificates of insurance and endorsement as stated above for all of the foregoing policies, as required herein, to the County of Fresno, **Department of Behavioral Health, Attn: Janell Sidney, 3133 N. Millbrook Avenue, Room #250, Fresno, CA 93703**, stating that such insurance coverage have been obtained and are in full force; that the County of Fresno, its officers, agents and employees will not be responsible for any premiums on the policies; that such Commercial General Liability insurance names the County of Fresno, its officers, agents and employees, individually and collectively, as additional insured, but only insofar as the operations under this Agreement are concerned; that such coverage for additional insured shall apply as primary insurance and any other insurance, or self-insurance, maintained by County, its officers, agents and employees, shall be excess only and not contributing with insurance provided under Contractor's policies herein; and that this insurance shall not be cancelled or changed without a minimum of thirty (30) days advance, written notice given to County.

In the event Contractor fails to keep in effect at all times insurance coverage as herein provided, the County may, in addition to other remedies it may have, suspend or terminate this Agreement upon the occurrence of such event.

All policies shall be with admitted insurers licensed to do business in the State of California. Insurance purchased shall be purchased from companies possessing a current A.M. Best, Inc. rating of A FSC VII or better.

**AUDIT AND RETENTION:** The Contractor shall maintain in good and legible condition all books, documents, papers, data files and other records related to its performance under this contract. Such records shall be complete and available to Fresno County, the State of California, the federal government or their duly authorized representatives for the purpose of audit, examination, or copying during the term of the contract and for a period of at least three years following the County's final payment under the contract or until conclusion of any pending matter (e.g., litigation or audit), whichever is later. Such records must be retained in the manner described above until all pending matters are closed.

**DEFAULT:** In case of default by the selected bidder, the County may procure materials and services from another source and may recover the loss occasioned thereby from any unpaid balance due the selected bidder, or by any other legal means available to the County.

**BREACH OF CONTRACT:** In the event of breach of contract by either party, the other party shall be relieved of its obligations under this agreement and may pursue any legal remedies.

**CONFIDENTIALITY:** All services performed by vendor shall be in strict conformance with all applicable Federal, State of California and/or local laws and regulations relating to confidentiality, including but not limited to, California Civil Code, California Welfare and Institutions Code, Health and Safety Code, California Code of Regulations, Code of Federal Regulations.

Vendor shall submit to County's monitoring of said compliance.

Vendor may be a Business associate of County, as that term is defined in the "Privacy Rule" enacted by the Health Insurance Portability and Accountability Act of 1996 (HIPAA). As a HIPAA Business Associate, vendor may use or disclose protected health information ("PHI") to perform functions, activities or services for or on behalf of County, as specified by the County, provided that such use or disclosure shall not violate HIPAA and its implementing regulations. The uses and disclosures of PHI may not be more expansive than those applicable to County, as the "Covered Entity" under HIPAA's Privacy Rule, except as authorized for management, administrative or legal responsibilities of the Business Associate.

Vendor shall not use or further disclose PHI other than as permitted or required by the County, or as required by law without written notice to the County.

Vendor shall ensure that any agent, including any subcontractor, to which vendor provides PHI received from, or created or received by the vendor on behalf of County, shall comply with the same restrictions and conditions with respect to such information.

**APPEALS:** Appeals must be submitted in writing within \*seven (7) working days after notification of proposed recommendations for award. A "Notice of Award" is not an indication of County's acceptance of an offer made in response to this RFP. Appeals shall be submitted to County of Fresno Purchasing, 4525 E. Hamilton Avenue 2<sup>nd</sup> Floor, Fresno, California 93702-4599 and in Word format to [gcornuelle@co.fresno.ca.us](mailto:gcornuelle@co.fresno.ca.us). Appeals should address only areas regarding RFP contradictions, procurement errors, proposal rating discrepancies, legality of procurement context, conflict of interest, and inappropriate or unfair competitive procurement grievance regarding the RFP process.

Purchasing will provide a written response to the complainant within \*seven (7) working days unless the complainant is notified more time is required.

If the protesting bidder is not satisfied with the decision of Purchasing, he/she shall have the right to appeal to the Purchasing Agent/CAO within seven (7) working days after Purchasing's notification; except, if notified to appeal directly to the Board of Supervisors at the scheduled date and time.

If the protesting bidder is not satisfied with Purchasing Agent/CAO's decision, the final appeal is with the Board of Supervisors.

\*The seven (7) working day period shall commence and be computed by excluding the first day and including the last day upon the date that the notification is issued by the County.

**RIGHTS OF OWNERSHIP:** The County shall maintain all rights of ownership and use to all materials designed, created or constructed associated with this service/project/program.

## BIDDING INSTRUCTIONS AND REQUIREMENTS

**ISSUING AGENT:** This RFP has been issued by County of Fresno, Purchasing. Purchasing shall be the vendor's sole point of contact with regard to the RFP, its content, and all issues concerning it.

**AUTHORIZED CONTACT:** All communication regarding this RFP shall be directed to an authorized representative of County Purchasing. The specific buyer managing this RFP is identified on the cover page, along with his or her telephone number, and he or she should be the primary point of contact for discussions or information pertaining to the RFP. Contact with any other County representative, including elected officials, for the purpose of discussing this RFP, its content, or any other issue concerning it, is prohibited unless authorized by Purchasing. Violation of this clause, by the vendor having unauthorized contact (verbally or in writing) with such other County representatives, may constitute grounds for rejection by Purchasing of the vendor's quotation.

The above stated restriction on vendor contact with County representatives shall apply until the County has awarded a purchase order or contract to a vendor or vendors, except as follows. First, in the event that a vendor initiates a formal protest against the RFP, such vendor may contact the appropriate individual, or individuals who are managing that protest as outlined in the County's established protest procedures. All such contact must be in accordance with the sequence set forth under the protest procedures. Second, in the event a public hearing is scheduled before the Board of Supervisors to hear testimony prior to its approval of a purchase order or contract, any vendor may address the Board.

**VENDOR CONFERENCE:** On January 13, 2016 at 10:00 A.M., a vendor's conference will be held in which the scope of the project and proposal requirements will be explained. The meeting will be held at the office of County of Fresno Purchasing, 4525 E. Hamilton (between Cedar and Maple), 2<sup>nd</sup> Floor, Fresno, California. Addendum will be prepared and distributed to all bidders only if necessary to clarify substantive items raised during the bidders' conference.

Bidders are to contact Gary E. Cornuelle at County of Fresno Purchasing, (559) 600-7114, if they are planning to attend the conference.

**NUMBER OF COPIES:** Submit **one (1) original and seven (7) copies** of your proposal no later than the proposal closing date and time as stated on the front of this document to County of Fresno Purchasing. Each copy to be identical to the original, include all supporting documentation (e.g. literature, brochures, reports, schedules etc.). The cover page of each quotation is to be appropriately marked "Original" or "Copy".

**INTERPRETATION OF RFP:** Vendors must make careful examination of the requirements, specifications and conditions expressed in the RFP and fully inform themselves as to the quality and character of services required. If any person planning to submit a proposal finds discrepancies in or omissions from the RFP or has any doubt as to the true meaning or interpretation, correction thereof may be requested at the scheduled Vendor Conference (see above). Any change in the RFP will be made only by written addendum, duly issued by the County. The County will not be responsible for any other explanations or interpretations.

Questions may be submitted subsequent to the Vendor Conference, subject to the following conditions:

- a. Such questions are submitted in writing to the County Purchasing not later than January 19, 2016 at 10:00 a.m. Questions must be directed to the attention of Gary E. Cornuelle, Purchasing Manager.
- b. Such questions are submitted with the understanding that County can respond only to questions it considers material in nature.
- c. Questions shall be e-mailed to [gcornuelle@co.fresno.ca.us](mailto:gcornuelle@co.fresno.ca.us).

**NOTE:** The bidder is encouraged to submit all questions at the Vendor Conference. Time limitations can prevent a response to questions submitted after the conference.

**SELECTION COMMITTEE:** All proposals will be evaluated by a team co-chaired by Purchasing. All proposals will be evaluated by a review committee that may consist of County of Fresno Purchasing, department staff, community representatives from advisory boards and other members as appropriate.

The proposals will be evaluated in a multi-stage selection process. Some bids may be eliminated or set aside after an initial review. If a proposal does not respond adequately to the RFP or the bidder is deemed unsuitable or incapable of delivering services, the proposal may be eliminated from consideration. It will be the selection committee's responsibility to make the final recommendation to the Department Head.

**CONTRACT TERM:** It is County's intent to contract with the successful bidder for a term of three (3) years with the option to renew for up to two (2) additional one (1) year periods based on mutual written consent. County will retain the right to terminate the Agreement upon giving thirty (30) days advance written notification to the Contractor.

**PAYMENT:** The County of Fresno, if appropriate, may use Procurement Card to place and make payment for orders under the ensuing contract.

**AUDITED FINANCIAL STATEMENTS:** Copies of the audited Financial Statements for the last three (3) years for the business, agency or program that will be providing the service(s) proposed. If audited statements are not available, compiled or reviewed statements will be accepted with copies of three years of corresponding federal tax returns. This information is to be provided after the RFP closes, if requested. **Do not provide with your proposal.**

**CONTRACT NEGOTIATION:** The County will prepare and negotiate its own contract with the selected vendor, giving due consideration to standard contracts and associated legal documents submitted as a part of bidder's response to the RFP. The tentative award of the contract is based on successful negotiation pending formal recommendation of award. Bidder is to include in response the names and titles of officials authorized to conduct such negotiations.

**NOTICES:** All notices, payments, invoices, insurance and endorsement certificates, etc. need to be submitted as follows: referencing contract/purchase order number, department, position, title and address of administering official.

**EPAYMENT OPTION:** The County of Fresno provides an Epay Program which involves payment of invoices by a secure Visa account number assigned to the supplier after award of contract. Notification of payments and required invoice information are issued to the supplier's designated Accounts Receivable contact by e-mail remittance advice at time of payment. To learn more about the benefits of an Epay Program, how it works, and obtain answers to frequently asked questions, click or copy and paste the following URL into your browser: [www.bankofamerica.com/epayablesvendors](http://www.bankofamerica.com/epayablesvendors) or call Fresno County Accounts Payable, 559-600-3609.

**NOTICE TO PROCEED:** Following execution of the agreement by both parties (County and Contractor), the County will issue a written Notice to Proceed for the project specified herein. The completion period as defined below, shall commence upon the date that the Notice to Proceed is delivered to the Contractor.



## SCOPE OF WORK

The County of Fresno on behalf of the Department of Behavioral Health is requesting proposals from qualified vendors to provide advocacy, consumer and family support, and mental health and anti-stigma education services in accordance with the Mental Health Services Act (MHSA) guidelines and principles. Under an approved contract, the vendor(s) will provide Consumer/Family Advocacy services fully devoted to the needs of consumers/families in the community and act as a community representative in MHSA policy meetings and committees. Advocates will work to develop and improve prevention and early intervention strategies with consumers and families experiencing a first break mental illness or experiencing early onset of a crisis that might trigger unnecessary involvement with law enforcement, involuntary services, admission to emergency departments, or the criminal justice system. Advocates shall provide mental illness and recovery education to the family, assist the family and consumer in developing positive interaction skills and communication techniques, and help build resiliency in the family in an effort to prevent future mental health crisis. Advocates shall also help families develop de-escalation techniques so that if a consumer begins to decompensate, the family will be prepared to intervene in the most appropriate manner.

### **Background**

The current contract (one lead provider and one sub-contractor) serves culturally and linguistically appropriate Consumer/Family Advocacy services primarily in rural Fresno County cities with an emphasis on services to Hispanic and Non-English speaking communities, and the metropolitan central Fresno Southeast Asian populations (primarily Laotian and Hmong). With an annual budget of \$113,568, services from January 2014 through June 2015 included approximately: 84 support groups; 744 calls for assistance; 341 linkages to services; 273 home visits for mental health support services; 231 home visits for outreach and education; 97 presentations to over 1,000 individuals; 57 rural and metro community outreach events with resource brochures and information provided in primary community languages; and over 30 television and radio media events reaching 1,200 to 14,000 individuals per event. For the same period, services resulted in 1,017 evaluations completed with 87% reporting high service satisfaction. In addition, advocates participated in and/or facilitated: Mental Health First Aid Trainings; Wellness Recovery Action Plan (WRAP) Certification and training; and Suicide Prevention Training.

### **RFP Responses**

Services outlined in the submitted proposal should demonstrate previous experience and/or awareness of consumer/family member centered services, advocacy and support, knowledge of applicable laws, community resources, and a basic understanding of the needs, problems, and behaviors of consumers who have a serious mental illness and the needs and problems faced by their family members. The selected vendor(s) agreement is anticipated to continue through Fiscal Year 2020/21 with the goal of improving the relationships between consumers and family members and the County of Fresno and surrounding community and community providers.

RFP responses shall address the following service requirements clearly demonstrating understanding, knowledge and expertise in the culturally and linguistically appropriate provision of these advocacy services. Responses shall outline *how* bidders propose to provide the services as sought by this RFP and include any services and/or procedures they may implement to enhance and expand advocacy, outreach and education services in rural and metropolitan Fresno County.

- Family advocate training and peer to peer education
- Community/consumer/family education and anti-stigma
- Family/consumer evaluation, referral/linkages, support services, crisis services, and follow-up
- Community resource coordination and collaboration in rural and metropolitan areas
- Outreach and community liaison services in rural and metropolitan areas
- Suicide Prevention

RFP responses shall also clearly demonstrate an understanding, willingness, ability, and plan/procedures to provide and maintain the following:

- Outcome data collection and reporting, including consumer/family feedback on services, providers, policies and procedures.
- Consumer and family member complaint review process. This complaint review process would review concerns and issues related to issues such as access to and satisfaction with mental health services, including contracted services. Said complaint review process will involve continued and active participation on Fresno County's Quality Improvement Council (QIC). Said complaint review process shall include creating consumer/family member complaint logs, reporting mechanisms to the DBH, quality improvement recommendations and additional summaries/reports as needed to DBH designee(s). Informational material relevant to consumer/family member needs ensuring consumer/family perspective and needs shall be provided to consumers/family members.
- Consumer/family "Speaker's Bureau" (or something similar) to allow consumers/family members to engage in public speaking to increase public awareness on the impact of mental illness on consumers and their families and the importance of family member involvement in the treatment of consumers with a serious mental illness. Said Speaker's Bureau shall occur on a regularly scheduled basis (i.e. weekly/monthly).
- Performance of activities as needed, to develop, maintain, and distribute a consumer/family member newsletter, including interaction with consumer and provider representatives to gather and disperse mental health information on a monthly basis.

## PROGRAM OUTCOMES

The following items represent general goals to be achieved by the selected vendor(s) in addition to vendor-developed outcomes. Responses should include *specific outcomes* that relate to services being considered for award. Outcomes must be measurable and clearly document items such as consumer services, family services, liaison activities and outcomes of contacts. The selected vendor(s) will utilize a computerized tracking system to maintain outcome measures and other relevant consumer data, to include demographics. Indicators (and data source) are to be reported to the MHSA Coordinator or designee on a monthly basis. Based on first year outcomes, it is anticipated subsequent year's outcomes will increase a minimum of five percent (5%) yearly.

### **Advocacy**

1. Educate and increase awareness of the impact of mental illness on consumers and family members.
2. Increase awareness and demystify the myths that surround mental health issues, especially in rural areas, under-served and un-served populations.
3. Increase awareness of mental health issues to minimize stigma.
4. Increase support of family members to consumers.
5. Increase coping skills in consumers and family members.

### **Family Support and Education**

1. Increase consumer and family member level of functioning and confidence.
2. Increase access to resources including community groups, government entitlements, self-help programs, and family and individual support groups.
3. Increase access to education and vocational training resources to instill self-reliance and confidence in consumers.
4. Increase access to physical health resources including community programs and government entitlements.

Increase access to recreational opportunities for consumers and family members to reinforce positive experiences.

## COST PROPOSAL

Bid proposal must include a detailed cost proposal and an accompanying detailed and comprehensive cost proposal narrative. The cost proposal must include a precise breakdown of all costs, expenses, and revenues. Include details and rates/fees for all services, materials, equipment, etc. to be provided or optional under the proposal.

The contract awarded will be for a three (3) year contract period with two (2) automatic one (1) year renewals terms based on satisfactory performance, community need, and funding availability. Therefore, the bid proposal shall include a budget for each of the five (5) potential 12-month terms submitted in the format outlined in Exhibit A, attached hereto. The five (5) budget terms requiring proposed budgets and budget narratives are: July 1, 2016 through June 30, 2017; July 1, 2017 through June 30, 2018; July 1, 2018 through June 30, 2019; July 1, 2019 through June 30, 2020; and July 1, 2020 through June 30, 2021.

The estimated maximum annual amount of MHSA funds available for FY 2016/17 is \$113,568, with partial awards being provided to more than one agency as described in "Overview," at the beginning of this RFP document. Subsequent years could be reduced, contingent upon the State budget and future MHSA funding.

The cost proposal for Consumer/Family Advocacy Services shall include line item budgets with staffing details for each fiscal year specific to service delivery and anticipated expenses. Budget narratives (one for each fiscal year) shall include, at minimum, cost to provide services as listed in the Scope of Work section above, as well as cost to provide a number of intended monthly advocacy engagements, public engagements, cost of providing engagements, public meetings, lectures, estimated units of service provided, revenue if applicable, and requested start-up costs, if needed. Specific information on line items should be detailed in the budget narrative. For example, Transportation should identify staff or consumer transportation, and what type (taxi fare, bus tokens, staff mileage); Leases should specify equipment, space, and/or vehicles. Evidence of leverage of additional funding from other sources should be included on the cost proposal. Leveraging can be in the form of cash match, Federal, State, local reimbursements, use of facilities and other resources. Other organizations may provide in-kind support including space, equipment, additional staffing and volunteer time, cross-training, peer mentorship programs etc.

Prospective bidders shall include in their budget forms *accurately estimated* revenues and narratives. Revenues generated by selected bidder(s) in the provision of Consumer/Family Advocacy Services as identified in this RFP shall be clearly identified with narratives explaining the methodology of revenue per year, amount of revenue, and justification of revenue (where or how this revenue is generated). All revenues generated shall partially offset the costs of the budget and expenditures.

The cost proposal shall include line item budgets, budget narratives to reflect all expenses identified in the line item budgets, and staffing detail reports for each FY 2016-2017, FY 2017-2018, FY 2018-19, FY 2019-20, and FY 2020-21.

### **Compensation**

Selected vendor(s) shall be reimbursed based on actual costs not to exceed the contract maximum. Contractor(s) shall invoice the County based on actual costs such as staffing, supplies, mileage reimbursement, etc.

The County shall only reimburse selected bidder(s) on actual claim/cost amounts based on actual invoices submitted on a monthly basis. Payments by County shall be in arrears, for services provided during the preceding month, within 45 days after receipt and verification of selected bidder(s) invoices. The invoice shall show a detailed line item breakout showing vendor's costs. This line item breakout will allow the County to analyze if the program is on track according to the contract requirements. Additionally general ledgers shall accompany and substantiate each monthly invoice

If for any reason the proposed County agreement is cancelled or terminated, County's maximum liability shall be limited to actual costs not to exceed the maximum compensation stated in the proposed agreement.

In order to receive any payment under the proposed County agreement, selected vendor(s) shall submit reports and claims as stated in this RFP and proposed agreement in such form as may be required by the

County of Fresno and/or DBH. Provider invoices may not be approved for payment for any amount different than invoiced; subsequently, Provider may not invoice any amount in excess of the approved budget line item amount. If there are available unspent funds at the end of the Fiscal Year, and if an approved Budget Modification Request resolves the line item shortage, Provider may submit a second invoice to request payment of funds previously not invoiced. End of Fiscal Year Budget Modification Requests and corresponding invoices may not be payable by County if submitted later than July 31<sup>st</sup> following the end of the fiscal year invoiced.

#### **Administrative and Employee Benefit Costs**

The selected bidder(s) agrees to limit both local and corporate administrative County-reimbursable costs to a maximum of 15% of the total program budget; and to limit employee benefits County-reimbursable costs to a maximum of 20% of total salaries for those employees working under this Agreement. Note that the employee benefits cap applies only to agencies where County funds make up more than 50% of the agency's total funding; and where applicable, this exception to the benefit cap should be clearly stated in the bid response. Failure to conform or address this provision may be grounds for contract termination at the option of the County of Fresno. **(Note any exceptions and provide detail justification and explanation. Each agency exception shall be evaluated on a case-by-case basis.)**

#### **Other Notes**

All fixed assets such as vehicles, equipment, etc. will remain County property at the end of the agreement term. All purchases over five thousand dollars (\$5,000.00) including sales tax, and certain purchases under said amount such as cameras, televisions, VCRs/DVDs and other sensitive items, made during the life of the Agreement resulting from this Request for Proposal, with funds paid pursuant to this Agreement and that will outlive the life of this Agreement, shall be identified as fixed assets with an assigned Fresno County Inventory Number. These fixed assets shall be retained by County, as County property, in the event this Agreement is terminated or upon expiration of the Agreement. Vendor agrees to participate in an annual inventory of all County fixed assets and shall be physically present when fixed assets are returned to County possession at the termination or expiration of this Agreement. Vendor is responsible for returning to County all County owned fixed assets, or the monetary value of said fixed assets, as determined by the MHSA Coordinator or designee.

Selected bidder(s) shall submit to the County within thirty (30) calendar days all fiscal and programs reports for the preceding month. Selected bidder(s) shall also furnish to the County such statements, records, reports, data and other information as County may request pertaining to matters covered by the agreement resulting from this RFP. Said reports shall state the volume of all services provided. In the event that the selected bidder(s) fail to provide such reports or other information required hereunder, it shall be deemed sufficient cause for County to withhold monthly payments until there is compliance.

Selected bidder(s) shall provide written notification and explanation to County within five (5) days of any funds received from another source to conduct the same services covered by the agreement resulting from this RFP.

Selected bidder(s) agrees to extend to the County and State Department of Health Care Services or their designees, the right to review and monitor records, programs or procedures, at any time, in regard to clients, as well as the overall operation of selected bidder's programs, in order to ensure compliance with the terms and conditions of the proposed agreement.

Reporting under the resulting agreement will include payment, cost reporting and outcomes.

## PROPOSAL CONTENT REQUIREMENTS

It is important that the vendor submit his/her proposal in accordance with the format and instructions provided under this section. Doing so will facilitate the evaluation of the proposal. It will limit the possibility of a poor rating due to the omission or mis-categorization of the requested information. Responding in the requested format will enhance the evaluation team's item by item comparison of each proposal item. The vendor's proposal may be placed at a disadvantage if submitted in a format other than that identified below.

Bidders are requested to submit their proposals in a binder (one that allows for easy removal of pages) with index tabs separating the sections identified. Each page should be numbered.

**Each binder is to be clearly marked on the cover with the proposal name, number, closing date, "Original" or "Copy", and bidder's name.**

Merely offering to meet the specifications is insufficient and will not be accepted. Each bidder shall submit a complete proposal with all information requested. Supportive material may be attached as appendices. All pages, including the appendices, must be numbered.

**Vendors are instructed not to submit confidential, proprietary and related information within the request for proposal. If you are submitting trade secrets, it must be submitted in a separate binder clearly marked "TRADE SECRETS", see Trade Secret Acknowledgement section.**

The content and sequence of the proposals will be as follows:

- I. RFP PAGE 1 AND ADDENDUM(S) PAGE 1 (IF APPLICABLE) completed and signed by participating individual or agency.
- II. COVER LETTER: A one-page cover letter and introduction including the company name and address of the bidder and the name, address and telephone number of the person or persons to be used for contact and who will be authorized to make representations for the bidder.
  - A. Whether the bidder is an individual, partnership or corporation shall also be stated. It will be signed by the individual, partner, or an officer or agent of the corporation authorized to bind the corporation, depending upon the legal nature of the bidder. A corporation submitting a proposal may be required before the contract is finally awarded to furnish a certificate as to its corporate existence, and satisfactory evidence as to the officer or officers authorized to execute the contract on behalf of the corporation.
- III. TABLE OF CONTENTS
- IV. CONFLICT OF INTEREST STATEMENT: The Contractor may become involved in situations where conflict of interest could occur due to individual or organizational activities that occur within the County. **The Contractor must provide a statement addressing the potential, if any, for conflict of interest and indicate plans, if applicable, to address potential conflict of interest.** This section will be reviewed by County Counsel for compliance with conflict of interest as part of the review process. The Contractor shall comply with all federal, state and local conflict of interest laws, statutes and regulations.
- V. TRADE SECRET:
  - A. Sign where required.
- VI. CERTIFICATION – DISCLOSURE – CRIMINAL HISTORY & CIVIL ACTIONS
- VII. REFERENCES
- VIII. PARTICIPATION

IX. EXCEPTIONS: This portion of the proposal will note any exceptions to the requirements and conditions taken by the bidder. If exceptions are not noted, the County will assume that the bidder's proposals meet those requirements. The exceptions shall be noted as follows:

- A. Exceptions to General Conditions.
- B. Exceptions to General Requirements.
- C. Exceptions to Specific Terms and Conditions.
- D. Exceptions to Scope of Work.
- E. Exceptions to Proposal Content Requirements.
- F. Exceptions to any other part of this RFP.

X. VENDOR COMPANY DATA: This section should include:

- A. A narrative which demonstrates the vendor's basic familiarity or experience with problems associated with this service/project.
- B. Descriptions of any similar or related contracts under which the bidder has provided services.
- C. Descriptions of the qualifications of the individual(s) providing the services.
- D. Any material (including letters of support or endorsement) indicative of the bidder's capability.
- E. A brief description of the bidder's current operations, and ability to provide the services.
- F. Copies of the audited Financial Statements for the last three (3) years for the agency or program that will be providing the service(s) proposed. If audited statements are not available, compiled or reviewed statements will be accepted with copies of three years of corresponding federal tax returns. This information is to be provided after the RFP closes, if requested. **Do not provide with your proposal.**
- G. Describe all contracts that have been terminated before completion within the last five (5) years:
  - 1. Agency contract with
  - 2. Date of original contract
  - 3. Reason for termination
  - 4. Contact person and telephone number for agency
- H. Describe all lawsuit(s) or legal action(s) that are currently pending; and any lawsuit(s) or legal action(s) that have been resolved within the last five (5) years:
  - 1. Location filed, name of court and docket number
  - 2. Nature of the lawsuit or legal action
- I. Describe any payment problems that you have had with the County within the past three (3) years:
  - 1. Funding source
  - 2. Date(s) and amount(s)
  - 3. Resolution
  - 4. Impact to financial viability of organization.

XI. SCOPE OF WORK:

- A. Bidders are to use this section to describe the essence of their proposal.
- B. This section should be formatted as follows:
  - 1. A general discussion of your understanding of the project, the Scope of Work proposed and a summary of the features of your proposal.

2. A detailed description of your proposal as it relates to each item listed under the "Scope of Work" section of this RFP. Bidder's response should be stated in the same order as are the "Scope of Work" items. Each description should begin with a restatement of the "Scope of Work" item that it is addressing. Bidders must explain their approach and method of satisfying each of the listed items.
  - C. When reports or other documentation are to be a part of the proposal a sample of each must be submitted. Reports should be referenced in this section and submitted in a separate section entitled "REPORTS."
  - D. A complete description of any alternative solutions or approaches to accomplishing the desired results.
- XII. COST PROPOSAL: Quotations may be prepared in any manner to best demonstrate the worthiness of your proposal. Include details and rates/fees for all services, materials, equipment, etc. to be provided or optional under the proposal.
- XIII. CHECK LIST



## AWARD CRITERIA

### **COST**

- A. Is the proposed budget realistic with regards to required program operations?
- B. Do proposed Employee Benefit Costs adhere to the 20% cap? If not, are the exceptions clearly noted and adequately justified?
- C. Do proposed Administrative Costs adhere to the 15% cap? If not, are the exceptions clearly noted and justified?

### **CAPABILITY AND QUALIFICATIONS**

- A. Do service descriptions address all the areas identified in the RFP?
- B. To what degree does the proposal clearly outline ongoing mental health training and education strategies, including anti-stigma and suicide prevention, for peer support/staff, consumers/families, and for the communities served?
- C. To what degree does the proposal clearly outline outreach and liaison strategies for unserved/underserved, including those in rural Fresno County?
- D. To what degree does the proposal demonstrate the provider's knowledge of, and collaboration experience with community resources/providers for referrals/linkages, support services, and crisis services?
- E. To what degree does the proposal demonstrate strategies for increasing access to services, and increasing consumer/family member coping skills and level of functioning and confidence?
- F. To what degree does the proposal clearly demonstrate an understanding, willingness, ability, and plan/procedures to provide and maintain the following?
- G. Outcome data collection and reporting, including consumer/family feedback on services, providers, policies and procedures;
- H. Consumer and family member complaint review process;
- I. Consumer/family "Speaker's Bureau" (or something similar) and a consumer/family member newsletter;

### **MANAGEMENT PLAN**

- A. Is the organizational plan and management structure adequate and appropriate for overseeing the proposed services?

## CHECK LIST

This Checklist is provided to assist vendors in the preparation of their RFP response. Included in this list, are important requirements and is the responsibility of the bidder to submit with the RFP package in order to make the RFP compliant. Because this checklist is just a guideline, the bidder must read and comply with the RFP in its entirety.

*Check off each of the following:*

1. \_\_\_\_\_ All signatures must be in **blue ink**.
2. \_\_\_\_\_ The Request for Proposal (RFP) has been signed and completed.
3. \_\_\_\_\_ Addenda, if any, have been completed, signed and included in the bid package.
4. \_\_\_\_\_ **One (1) original plus seven (7) copies** of the RFP have been provided.
5. \_\_\_\_\_ Provide a Conflict of Interest Statement.
6. \_\_\_\_\_ The completed *Trade Secret Form* as provided with this RFP (Confidential/Trade Secret Information, if provided must be in a separate binder).
7. \_\_\_\_\_ The completed *Criminal History Disclosure Form* as provided with this RFP.
8. \_\_\_\_\_ The completed *Participation Form* as provided with this RFP.
9. \_\_\_\_\_ The completed *Reference List* as provided with this RFP.
10. \_\_\_\_\_ Indicate all of bidder exceptions to the County's requirements, conditions and specifications as stated within this RFP.
11. \_\_\_\_\_ Lastly, on the **LOWER LEFT HAND CORNER** of the sealed envelope, box, etc. transmitting your bid include the following information:

County of Fresno RFP No. <u>  <b>952-5411</b>  </u>
Closing Date: <u>  <b>February 4, 2016</b>  </u>
Closing Time: <u>  <b>2:00 P.M.</b>  </u>
Commodity or Service: <u>  <b>Consumer/Family Advocacy</b>  </u>

**Return Checklist with your RFP response.**

## EXHIBIT

### **A. Budget Template**

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2016-6/30/2017

Budget Categories - Line Item Description (Must be itemized)	FTE %	Total Proposed Budget		
		Admin.	Direct	Total
<b>PERSONNEL SALARIES (List titles):</b>				
0001 _____	_____	_____	_____	\$ _____
0002 _____	_____	_____	_____	\$ _____
0003 _____	_____	_____	_____	\$ _____
0004 _____	_____	_____	_____	\$ _____
0005 _____	_____	_____	_____	\$ _____
0006 _____	_____	_____	_____	\$ _____
0007 _____	_____	_____	_____	\$ _____
0008 _____	_____	_____	_____	\$ _____
0009 _____	_____	_____	_____	\$ _____
0010 _____	_____	_____	_____	\$ _____
0011 _____	_____	_____	_____	\$ _____
0012 _____	_____	_____	_____	\$ _____
<b>SALARY TOTAL</b>				<b>\$ _____</b>
<b>PAYROLL TAXES:</b>				
0030 OASDI		_____	_____	\$ _____
0031 FICA/MEDICARE		_____	_____	\$ _____
0032 SUI		_____	_____	\$ _____
<b>PAYROLL TAX TOTAL</b>				<b>\$ _____</b>
<b>EMPLOYEE BENEFITS:</b>				
0040 Retirement		_____	_____	\$ _____
0041 Workers Compensation		_____	_____	\$ _____
0042 Health Insurance (medical, vision, life, dental)		_____	_____	\$ _____
<b>EMPLOYEE BENEFITS TOTAL</b>				<b>\$ _____</b>
<b>SALARY &amp; BENEFITS GRAND TOTAL</b>				<b>\$ _____</b>

**FACILITIES/EQUIPMENT EXPENSES:**

1010 Rent/Lease Building	\$ _____
1011 Rent/Lease Equipment	\$ _____
1012 Utilities	\$ _____
1013 Building Maintenance	\$ _____
1014 Equipment purchase	\$ _____
<b>FACILITY/EQUIPMENT TOTAL</b>	<b>\$ _____</b>

**OPERATING EXPENSES:**

1060	Telephone	\$
1061	Answering Service	\$
1062	Postage	\$
1063	Printing/Reproduction	\$
1064	Publications	\$
1065	Legal Notices/Advertising	\$
1066	Office Supplies & Equipment	\$
1067	Household Supplies	\$
1068	Food	\$
1069	Program Supplies - Therapeutic	\$
1070	Program Supplies - Medical	\$
1071	Transportation of Clients	\$
1072	Staff Mileage/vehicle maintenance	\$
1073	Staff Travel (Out of County)	\$
1074	Staff Training/Registration	\$
1075	Lodging	\$
1076	Other - (Identify)	\$
1077	Other - (Identify)	\$
<b>OPERATING EXPENSES TOTAL</b>		<b>\$</b>

**FINANCIAL SERVICES EXPENSES:**

1080	Accounting/Bookkeeping	\$
1081	External Audit	\$
1082	Liability Insurance	\$
1083	Administrative Overhead	\$
1084	Payroll Services	\$
1085	Professional Liability Insurance	\$
<b>FINANCIAL SERVICES TOTAL</b>		<b>\$</b>

**SPECIAL EXPENSES (Consultant/Etc.):**

1090	Consultant (network & data management)	\$
1091	Translation Services	\$
1092	Medication Supports	\$
<b>SPECIAL EXPENSES TOTAL</b>		<b>\$</b>

**FIXED ASSETS:**

1190	Computers & Software	\$
1191	Furniture & Fixtures	\$
1192	Other - (Identify)	\$
<b>FIXED ASSETS TOTAL</b>		<b>\$</b>

**NON MEDI-CAL CLIENT SUPPORT EXPENSES:**

2000	Client Housing Support Expenditures (SFC 70)	\$
2001	Client Housing Operating Expenditures (SFC 71)	\$
2002.1	Clothing, Food & Hygiene (SFC 72)	\$
2002.2	Client Transportation & Support (SFC 72)	\$
2002.3	Education Support (SFC 72)	\$
2002.4	Employment Support (SFC 72)	\$
2002.5	Respite Care (SFC 72)	\$
2002.6	Household Items	\$
2002.7	Utility Vouchers (SFC 72)	\$
2002.8	Child Care (SFC 72)	\$
<b>NON MEDI-CAL CLIENT SUPPORT TOTAL</b>		<b>\$</b>
<b>TOTAL PROGRAM EXPENSES</b>		<b>\$</b>

<b>MEDI-CAL REVENUE:</b>		<b>Units of Service</b>	<b>Rate</b>	<b>Amount</b>
3000	Mental Health Services (Individual/Family/Group Therapy)			\$
3100	Case Management			\$
3200	Crisis Services			\$
3300	Medication Support			\$
3400	Collateral			\$
3500	Plan Development			\$
3600	Assessment			\$
3700	Rehabilitation			\$
Estimated Medi-Cal Billing Totals				\$
% of Federal Financial Participation Reimbursement				\$
% of Early and Periodic Screening, Diagnostic, and Treatment Reimbursement				\$
<b>MEDI-CAL REVENUE TOTAL</b>				<b>\$</b>

**OTHER REVENUE:**

4000	Other - (Identify)	\$
4100	Other - (Identify)	\$
<b>OTHER REVENUE TOTAL</b>		<b>\$</b>

**MHSA FUNDS:**

5000	Prevention & Early Intervention Funds	\$
5100	Community Services & Supports Funds	\$
5200	Innovation Funds	\$
5300	Workforce Education & Training Funds	\$
<b>MHSA FUNDS TOTAL</b>		<b>\$</b>
<b>TOTAL PROGRAM REVENUE</b>		<b>\$</b>

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2016-6/30/2017**PROGRAM EXPENSES****Personnel Salaries, Payroll Taxes & Employee Benefits - Line Items 0001- 0042**

These amounts reflect FTE positions, part-time positions and whether the positions are administrative or direct service. Employee benefits should be limited to a maximum of 20% of total salaries.

\$ \_\_\_\_\_

**Facilities/Equipment Expenses – Line Items 1010-1014**

Identify building lease/rent expenses, equipment (office equipment, vehicles, etc.). Attach copy of lease agreements if available.

\$ \_\_\_\_\_

**Operating Expenses - Line Items 1060-1077**

Identify and detail the expenses for each item utilized for program.

\$ \_\_\_\_\_

**Financial Services Expenses – Line Items 1080-1085**

Local and corporate administrative costs are limited to 15% of the total program budget. Copies of insurance policies are required.

\$ \_\_\_\_\_

**Special Expenses – Line Items 1090-1092**

Detail each line item in Special Expenses.

\$ \_\_\_\_\_

**Fixed Assets – Line Items 1190-1193**

Include all purchases over Five Thousand Dollars (\$5,000) including sales tax, and certain purchases under said amount such as camera, televisions, VCRs/DVDs and other sensitive items, made during the life of the Agreement resulting from this Request for Proposal, with funds paid pursuant to this Agreement and that will outlive the life of this Agreement.

\$ \_\_\_\_\_

**Non-Medi-Cal Client Support Expenses – Line Items 2000-2002.8**

Detail any anticipated expenditures for clients.

\$ \_\_\_\_\_

**TOTAL PROGRAM EXPENSE: \$ \_\_\_\_\_**

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2016-6/30/2017

### Medi-Cal Revenues - Line Item 3000-3700

Medi-Cal Revenue projected based on Short-Doyle/Medi-Cal Reimbursement Rates. To follow are a series of tables that delineate the methodology for determining projected revenue. (For services to individuals under 21 years old).

Unduplicated Patient Caseload		#
Estimated Total Number of Unduplicated Patients (0-21 years) to be served		

Patient Caseload by Insurance Type	%		#
Medi-Cal			
Uninsured - Sliding Fee Full Discount			
Other			
Total Number of Unduplicated Patients			

Determining Units of Service for Medi-Cal Patients				#	#	#
	Total Visits each Year for each Patient			Minutes	Patients	Units
	Assessments Visit(s)/Patient @		minutes/each for a total of			
	Treatment Visit(s)/Patient @		minutes/each for a total of			
	Patient Exit Visit(s)/Patient @		minutes/each for a total of			
Total Number of Billable Minutes Per Year						

Determining Service Type for Medi-Cal Patients			%	#
			Units	Units
Mental Health Services (Individual/Family/Group Therapy)				
Case Management				
Crisis Services				
Medication Support				
Collateral				
Plan Development				
Assessment				
Rehabilitation				
Total Number of Billable Minutes Per Year			%	



Determining Reimbursement for Units of Service for Medi-Cal Patients	#	\$	\$
	Units	Unit Rate	Amount
Mental Health Services (Individual/Family/Group Therapy)			\$
Case Management			\$
Crisis Services			\$
Medication Support			\$
Collateral			\$
Plan Development			\$
Assessment			\$
Rehabilitation			\$
<i>Total Reimbursement for Billable Minutes Per Year</i>			\$

<i>Estimated % of Federal Financial Participation (FFP) Reimbursement</i>	%
<i>Estimated % of Early and Periodic Screening, Diagnostic and Treatment (EPSDT) Reimbursement</i>	%

<b>TOTAL ESTIMATED MEDI-CAL REVENUE</b>	<b>\$</b>
---	-----------

**Other Revenues - Line Items 4000-4300**

\$

Other: Private Insurance - \_\_\_ patients @ \_\_\_ visits/yr/patient at \$\_\_\_ a visit

**MHSA FUNDS - Line Items 5000-5300**

\$

**TOTAL REVENUE****\$**

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2017-6/30/2018

Budget Categories - Line Item Description (Must be itemized)		Total Proposed Budget			
		FTE %	Admin.	Direct	Total
<b>PERSONNEL SALARIES (List titles):</b>					
0001	_____	_____	_____	_____	\$ _____
0002	_____	_____	_____	_____	\$ _____
0003	_____	_____	_____	_____	\$ _____
0004	_____	_____	_____	_____	\$ _____
0005	_____	_____	_____	_____	\$ _____
0006	_____	_____	_____	_____	\$ _____
0007	_____	_____	_____	_____	\$ _____
0008	_____	_____	_____	_____	\$ _____
0009	_____	_____	_____	_____	\$ _____
0010	_____	_____	_____	_____	\$ _____
0011	_____	_____	_____	_____	\$ _____
0012	_____	_____	_____	_____	\$ _____
<b>SALARY TOTAL</b>					<b>\$ _____</b>
<b>PAYROLL TAXES:</b>					
0030	OASDI		_____	_____	\$ _____
0031	FICA/MEDICARE		_____	_____	\$ _____
0032	SUI		_____	_____	\$ _____
<b>PAYROLL TAX TOTAL</b>					<b>\$ _____</b>
<b>EMPLOYEE BENEFITS:</b>					
0040	Retirement		_____	_____	\$ _____
0041	Workers Compensation		_____	_____	\$ _____
0042	Health Insurance (medical, vision, life, dental)		_____	_____	\$ _____
<b>EMPLOYEE BENEFITS TOTAL</b>					<b>\$ _____</b>
<b>SALARY &amp; BENEFITS GRAND TOTAL</b>					<b>\$ _____</b>

**FACILITIES/EQUIPMENT EXPENSES:**

1010	Rent/Lease Building	\$ _____
1011	Rent/Lease Equipment	\$ _____
1012	Utilities	\$ _____
1013	Building Maintenance	\$ _____
1014	Equipment purchase	\$ _____
<b>FACILITY/EQUIPMENT TOTAL</b>		<b>\$ _____</b>

**OPERATING EXPENSES:**

1060	Telephone	\$
1061	Answering Service	\$
1062	Postage	\$
1063	Printing/Reproduction	\$
1064	Publications	\$
1065	Legal Notices/Advertising	\$
1066	Office Supplies & Equipment	\$
1067	Household Supplies	\$
1068	Food	\$
1069	Program Supplies - Therapeutic	\$
1070	Program Supplies - Medical	\$
1071	Transportation of Clients	\$
1072	Staff Mileage/vehicle maintenance	\$
1073	Staff Travel (Out of County)	\$
1074	Staff Training/Registration	\$
1075	Lodging	\$
1076	Other - (Identify)	\$
1077	Other - (Identify)	\$
<b>OPERATING EXPENSES TOTAL</b>		<b>\$</b>

**FINANCIAL SERVICES EXPENSES:**

1080	Accounting/Bookkeeping	\$
1081	External Audit	\$
1082	Liability Insurance	\$
1083	Administrative Overhead	\$
1084	Payroll Services	\$
1085	Professional Liability Insurance	\$
<b>FINANCIAL SERVICES TOTAL</b>		<b>\$</b>

**SPECIAL EXPENSES (Consultant/Etc.):**

1090	Consultant (network & data management)	\$
1091	Translation Services	\$
1092	Medication Supports	\$
<b>SPECIAL EXPENSES TOTAL</b>		<b>\$</b>

**FIXED ASSETS:**

1190	Computers & Software	\$
1191	Furniture & Fixtures	\$
1192	Other - (Identify)	\$
<b>FIXED ASSETS TOTAL</b>		<b>\$</b>

**NON MEDI-CAL CLIENT SUPPORT EXPENSES:**

2000	Client Housing Support Expenditures (SFC 70)	\$
2001	Client Housing Operating Expenditures (SFC 71)	\$
2002.1	Clothing, Food & Hygiene (SFC 72)	\$
2002.2	Client Transportation & Support (SFC 72)	\$
2002.3	Education Support (SFC 72)	\$
2002.4	Employment Support (SFC 72)	\$
2002.5	Respite Care (SFC 72)	\$
2002.6	Household Items	\$
2002.7	Utility Vouchers (SFC 72)	\$
2002.8	Child Care (SFC 72)	\$
<b>NON MEDI-CAL CLIENT SUPPORT TOTAL</b>		<b>\$</b>
<b>TOTAL PROGRAM EXPENSES</b>		<b>\$</b>

<b>MEDI-CAL REVENUE:</b>		<b>Units of Service</b>	<b>Rate</b>	<b>Amount</b>
3000	Mental Health Services (Individual/Family/Group Therapy)			\$
3100	Case Management			\$
3200	Crisis Services			\$
3300	Medication Support			\$
3400	Collateral			\$
3500	Plan Development			\$
3600	Assessment			\$
3700	Rehabilitation			\$
Estimated Medi-Cal Billing Totals				\$
% of Federal Financial Participation Reimbursement				\$
% of Early and Periodic Screening, Diagnostic, and Treatment Reimbursement				\$
<b>MEDI-CAL REVENUE TOTAL</b>				<b>\$</b>

**OTHER REVENUE:**

4000	Other - (Identify)	\$
4100	Other - (Identify)	\$
<b>OTHER REVENUE TOTAL</b>		<b>\$</b>

**MHSA FUNDS:**

5000	Prevention & Early Intervention Funds	\$
5100	Community Services & Supports Funds	\$
5200	Innovation Funds	\$
5300	Workforce Education & Training Funds	\$
<b>MHSA FUNDS TOTAL</b>		<b>\$</b>
<b>TOTAL PROGRAM REVENUE</b>		<b>\$</b>

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2017-6/30/2018

## **PROGRAM EXPENSES**

### **Personnel Salaries, Payroll Taxes & Employee Benefits - Line Items 0001- 0042**

These amounts reflect FTE positions, part-time positions and whether the positions are administrative or direct service. Employee benefits should be limited to a maximum of 20% of total salaries.

\$ \_\_\_\_\_

### **Facilities/Equipment Expenses – Line Items 1010-1014**

Identify building lease/rent expenses, equipment (office equipment, vehicles, etc.). Attach copy of lease agreements if available.

\$ \_\_\_\_\_

### **Operating Expenses - Line Items 1060-1077**

Identify and detail the expenses for each item utilized for program.

\$ \_\_\_\_\_

### **Financial Services Expenses – Line Items 1080-1085**

Local and corporate administrative costs are limited to 15% of the total program budget. Copies of insurance policies are required.

\$ \_\_\_\_\_

### **Special Expenses – Line Items 1090-1092**

Detail each line item in Special Expenses.

\$ \_\_\_\_\_

### **Fixed Assets – Line Items 1190-1193**

Include all purchases over Five Thousand Dollars (\$5,000) including sales tax, and certain purchases under said amount such as camera, televisions, VCRs/DVDs and other sensitive items, made during the life of the Agreement resulting from this Request for Proposal, with funds paid pursuant to this Agreement and that will outlive the life of this Agreement.

\$ \_\_\_\_\_

### **Non-Medi-Cal Client Support Expenses – Line Items 2000-2002.8**

Detail any anticipated expenditures for clients.

\$ \_\_\_\_\_

**TOTAL PROGRAM EXPENSE: \$ \_\_\_\_\_**

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2017-6/30/2018

### Medi-Cal Revenues - Line Item 3000-3700

Medi-Cal Revenue projected based on Short-Doyle/Medi-Cal Reimbursement Rates. To follow are a series of tables that delineate the methodology for determining projected revenue. (For services to individuals under 21 years old).

Unduplicated Patient Caseload		#
Estimated Total Number of Unduplicated Patients (0-21 years) to be served		

Patient Caseload by Insurance Type	%		#
Medi-Cal			
Uninsured - Sliding Fee Full Discount			
Other			
<i>Total Number of Unduplicated Patients</i>			

Determining Units of Service for Medi-Cal Patients				#	#	#
	Total Visits each Year for each Patient			Minutes	Patients	Units
	Assessments Visit(s)/Patient @		minutes/each for a total of			
	Treatment Visit(s)/Patient @		minutes/each for a total of			
	Patient Exit Visit(s)/Patient @		minutes/each for a total of			
<i>Total Number of Billable Minutes Per Year</i>						

Determining Service Type for Medi-Cal Patients			%	#
			Units	Units
Mental Health Services (Individual/Family/Group Therapy)				
Case Management				
Crisis Services				
Medication Support				
Collateral				
Plan Development				
Assessment				
Rehabilitation				
<i>Total Number of Billable Minutes Per Year</i>			%	

Determining Reimbursement for Units of Service for Medi-Cal Patients	#	\$	\$
	Units	Unit Rate	Amount
Mental Health Services (Individual/Family/Group Therapy)			\$
Case Management			\$
Crisis Services			\$
Medication Support			\$
Collateral			\$
Plan Development			\$
Assessment			\$
Rehabilitation			\$
<i>Total Reimbursement for Billable Minutes Per Year</i>			\$

<i>Estimated % of Federal Financial Participation (FFP) Reimbursement</i>	%
<i>Estimated % of Early and Periodic Screening, Diagnostic and Treatment (EPSDT) Reimbursement</i>	%

<b>TOTAL ESTIMATED MEDI-CAL REVENUE</b>	<b>\$</b>
---	-----------

**Other Revenues - Line Items 4000-4300**

\$

Other: Private Insurance - \_\_\_ patients @ \_\_\_ visits/yr/patient at \$\_\_\_ a visit

**MHSA FUNDS - Line Items 5000-5300**

\$

**TOTAL REVENUE**

\$

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2018-6/30/2019

Budget Categories - Line Item Description (Must be itemized)		Total Proposed Budget		
		FTE %	Admin.	Direct
				Total
<b>PERSONNEL SALARIES (List titles):</b>				
0001	_____	_____	_____	\$ _____
0002	_____	_____	_____	\$ _____
0003	_____	_____	_____	\$ _____
0004	_____	_____	_____	\$ _____
0005	_____	_____	_____	\$ _____
0006	_____	_____	_____	\$ _____
0007	_____	_____	_____	\$ _____
0008	_____	_____	_____	\$ _____
0009	_____	_____	_____	\$ _____
0010	_____	_____	_____	\$ _____
0011	_____	_____	_____	\$ _____
0012	_____	_____	_____	\$ _____
<b>SALARY TOTAL</b>				\$ _____
<b>PAYROLL TAXES:</b>				
0030	OASDI		_____	\$ _____
0031	FICA/MEDICARE		_____	\$ _____
0032	SUI		_____	\$ _____
<b>PAYROLL TAX TOTAL</b>				\$ _____
<b>EMPLOYEE BENEFITS:</b>				
0040	Retirement		_____	\$ _____
0041	Workers Compensation		_____	\$ _____
0042	Health Insurance (medical, vision, life, dental)		_____	\$ _____
<b>EMPLOYEE BENEFITS TOTAL</b>				\$ _____
<b>SALARY &amp; BENEFITS GRAND TOTAL</b>				\$ _____

**FACILITIES/EQUIPMENT EXPENSES:**

1010	Rent/Lease Building	\$ _____
1011	Rent/Lease Equipment	\$ _____
1012	Utilities	\$ _____
1013	Building Maintenance	\$ _____
1014	Equipment purchase	\$ _____
<b>FACILITY/EQUIPMENT TOTAL</b>		\$ _____



**OPERATING EXPENSES:**

1060	Telephone	\$
1061	Answering Service	\$
1062	Postage	\$
1063	Printing/Reproduction	\$
1064	Publications	\$
1065	Legal Notices/Advertising	\$
1066	Office Supplies & Equipment	\$
1067	Household Supplies	\$
1068	Food	\$
1069	Program Supplies - Therapeutic	\$
1070	Program Supplies - Medical	\$
1071	Transportation of Clients	\$
1072	Staff Mileage/vehicle maintenance	\$
1073	Staff Travel (Out of County)	\$
1074	Staff Training/Registration	\$
1075	Lodging	\$
1076	Other - (Identify)	\$
1077	Other - (Identify)	\$
<b>OPERATING EXPENSES TOTAL</b>		<b>\$</b>

**FINANCIAL SERVICES EXPENSES:**

1080	Accounting/Bookkeeping	\$
1081	External Audit	\$
1082	Liability Insurance	\$
1083	Administrative Overhead	\$
1084	Payroll Services	\$
1085	Professional Liability Insurance	\$
<b>FINANCIAL SERVICES TOTAL</b>		<b>\$</b>

**SPECIAL EXPENSES (Consultant/Etc.):**

1090	Consultant (network & data management)	\$
1091	Translation Services	\$
1092	Medication Supports	\$
<b>SPECIAL EXPENSES TOTAL</b>		<b>\$</b>

**FIXED ASSETS:**

1190	Computers & Software	\$
1191	Furniture & Fixtures	\$
1192	Other - (Identify)	\$
<b>FIXED ASSETS TOTAL</b>		<b>\$</b>

**NON MEDI-CAL CLIENT SUPPORT EXPENSES:**

2000	Client Housing Support Expenditures (SFC 70)	\$
2001	Client Housing Operating Expenditures (SFC 71)	\$
2002.1	Clothing, Food & Hygiene (SFC 72)	\$
2002.2	Client Transportation & Support (SFC 72)	\$
2002.3	Education Support (SFC 72)	\$
2002.4	Employment Support (SFC 72)	\$
2002.5	Respite Care (SFC 72)	\$
2002.6	Household Items	\$
2002.7	Utility Vouchers (SFC 72)	\$
2002.8	Child Care (SFC 72)	\$
<b>NON MEDI-CAL CLIENT SUPPORT TOTAL</b>		<b>\$</b>
<b>TOTAL PROGRAM EXPENSES</b>		<b>\$</b>

<b>MEDI-CAL REVENUE:</b>		<b>Units of Service</b>	<b>Rate</b>	<b>Amount</b>
3000	Mental Health Services (Individual/Family/Group Therapy)			\$
3100	Case Management			\$
3200	Crisis Services			\$
3300	Medication Support			\$
3400	Collateral			\$
3500	Plan Development			\$
3600	Assessment			\$
3700	Rehabilitation			\$
Estimated Medi-Cal Billing Totals				\$
% of Federal Financial Participation Reimbursement				\$
% of Early and Periodic Screening, Diagnostic, and Treatment Reimbursement				\$
<b>MEDI-CAL REVENUE TOTAL</b>				<b>\$</b>

**OTHER REVENUE:**

4000	Other - (Identify)	\$
4100	Other - (Identify)	\$
<b>OTHER REVENUE TOTAL</b>		<b>\$</b>

**MHSA FUNDS:**

5000	Prevention & Early Intervention Funds	\$
5100	Community Services & Supports Funds	\$
5200	Innovation Funds	\$
5300	Workforce Education & Training Funds	\$
<b>MHSA FUNDS TOTAL</b>		<b>\$</b>
<b>TOTAL PROGRAM REVENUE</b>		<b>\$</b>

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2018-6/30/2019**PROGRAM EXPENSES****Personnel Salaries, Payroll Taxes & Employee Benefits - Line Items 0001- 0042**

These amounts reflect FTE positions, part-time positions and whether the positions are administrative or direct service. Employee benefits should be limited to a maximum of 20% of total salaries.

\$ \_\_\_\_\_

**Facilities/Equipment Expenses – Line Items 1010-1014**

Identify building lease/rent expenses, equipment (office equipment, vehicles, etc.). Attach copy of lease agreements if available.

\$ \_\_\_\_\_

**Operating Expenses - Line Items 1060-1077**

Identify and detail the expenses for each item utilized for program.

\$ \_\_\_\_\_

**Financial Services Expenses – Line Items 1080-1085**

Local and corporate administrative costs are limited to 15% of the total program budget. Copies of insurance policies are required.

\$ \_\_\_\_\_

**Special Expenses – Line Items 1090-1092**

Detail each line item in Special Expenses.

\$ \_\_\_\_\_

**Fixed Assets – Line Items 1190-1193**

Include all purchases over Five Thousand Dollars (\$5,000) including sales tax, and certain purchases under said amount such as camera, televisions, VCRs/DVDs and other sensitive items, made during the life of the Agreement resulting from this Request for Proposal, with funds paid pursuant to this Agreement and that will outlive the life of this Agreement.

\$ \_\_\_\_\_

**Non-Medi-Cal Client Support Expenses – Line Items 2000-2002.8**

Detail any anticipated expenditures for clients.

\$ \_\_\_\_\_

**TOTAL PROGRAM EXPENSE: \$ \_\_\_\_\_**

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2018-6/30/2019

### Medi-Cal Revenues - Line Item 3000-3700

Medi-Cal Revenue projected based on Short-Doyle/Medi-Cal Reimbursement Rates. To follow are a series of tables that delineate the methodology for determining projected revenue. (For services to individuals under 21 years old).

Unduplicated Patient Caseload		#
Estimated Total Number of Unduplicated Patients (0-21 years) to be served		

Patient Caseload by Insurance Type	%		#
Medi-Cal			
Uninsured - Sliding Fee Full Discount			
Other			
<i>Total Number of Unduplicated Patients</i>			

Determining Units of Service for Medi-Cal Patients				#	#	#
	Total Visits each Year for each Patient			Minutes	Patients	Units
	Assessments Visit(s)/Patient @		minutes/each for a total of			
	Treatment Visit(s)/Patient @		minutes/each for a total of			
	Patient Exit Visit(s)/Patient @		minutes/each for a total of			
<i>Total Number of Billable Minutes Per Year</i>						

Determining Service Type for Medi-Cal Patients			%	#
			Units	Units
Mental Health Services (Individual/Family/Group Therapy)				
Case Management				
Crisis Services				
Medication Support				
Collateral				
Plan Development				
Assessment				
Rehabilitation				
<i>Total Number of Billable Minutes Per Year</i>			%	

Determining Reimbursement for Units of Service for Medi-Cal Patients	#	\$	\$
	Units	Unit Rate	Amount
Mental Health Services (Individual/Family/Group Therapy)			\$
Case Management			\$
Crisis Services			\$
Medication Support			\$
Collateral			\$
Plan Development			\$
Assessment			\$
Rehabilitation			\$
<i>Total Reimbursement for Billable Minutes Per Year</i>			\$

<i>Estimated % of Federal Financial Participation (FFP) Reimbursement</i>	%
<i>Estimated % of Early and Periodic Screening, Diagnostic and Treatment (EPSDT) Reimbursement</i>	%

<b>TOTAL ESTIMATED MEDI-CAL REVENUE</b>	<b>\$</b>
---	-----------

**Other Revenues - Line Items 4000-4300**

\$

Other: Private Insurance - \_\_\_ patients @ \_\_\_ visits/yr/patient at \$\_\_\_ a visit

**MHSA FUNDS - Line Items 5000-5300**

\$

**TOTAL REVENUE****\$**

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2019-6/30/2020

Budget Categories - Line Item Description (Must be itemized)		FTE %	Total Proposed Budget		
			Admin.	Direct	Total
<b>PERSONNEL SALARIES (List titles):</b>					
0001	_____	_____	_____	_____	\$ _____
0002	_____	_____	_____	_____	\$ _____
0003	_____	_____	_____	_____	\$ _____
0004	_____	_____	_____	_____	\$ _____
0005	_____	_____	_____	_____	\$ _____
0006	_____	_____	_____	_____	\$ _____
0007	_____	_____	_____	_____	\$ _____
0008	_____	_____	_____	_____	\$ _____
0009	_____	_____	_____	_____	\$ _____
0010	_____	_____	_____	_____	\$ _____
0011	_____	_____	_____	_____	\$ _____
0012	_____	_____	_____	_____	\$ _____
<b>SALARY TOTAL</b>					<b>\$ _____</b>
<b>PAYROLL TAXES:</b>					
0030	OASDI		_____	_____	\$ _____
0031	FICA/MEDICARE		_____	_____	\$ _____
0032	SUI		_____	_____	\$ _____
<b>PAYROLL TAX TOTAL</b>					<b>\$ _____</b>
<b>EMPLOYEE BENEFITS:</b>					
0040	Retirement		_____	_____	\$ _____
0041	Workers Compensation		_____	_____	\$ _____
0042	Health Insurance (medical, vision, life, dental)		_____	_____	\$ _____
<b>EMPLOYEE BENEFITS TOTAL</b>					<b>\$ _____</b>
<b>SALARY &amp; BENEFITS GRAND TOTAL</b>					<b>\$ _____</b>

**FACILITIES/EQUIPMENT EXPENSES:**

1010	Rent/Lease Building	\$ _____
1011	Rent/Lease Equipment	\$ _____
1012	Utilities	\$ _____
1013	Building Maintenance	\$ _____
1014	Equipment purchase	\$ _____
<b>FACILITY/EQUIPMENT TOTAL</b>		<b>\$ _____</b>

**OPERATING EXPENSES:**

1060	Telephone	\$
1061	Answering Service	\$
1062	Postage	\$
1063	Printing/Reproduction	\$
1064	Publications	\$
1065	Legal Notices/Advertising	\$
1066	Office Supplies & Equipment	\$
1067	Household Supplies	\$
1068	Food	\$
1069	Program Supplies - Therapeutic	\$
1070	Program Supplies - Medical	\$
1071	Transportation of Clients	\$
1072	Staff Mileage/vehicle maintenance	\$
1073	Staff Travel (Out of County)	\$
1074	Staff Training/Registration	\$
1075	Lodging	\$
1076	Other - (Identify)	\$
1077	Other - (Identify)	\$
<b>OPERATING EXPENSES TOTAL</b>		<b>\$</b>

**FINANCIAL SERVICES EXPENSES:**

1080	Accounting/Bookkeeping	\$
1081	External Audit	\$
1082	Liability Insurance	\$
1083	Administrative Overhead	\$
1084	Payroll Services	\$
1085	Professional Liability Insurance	\$
<b>FINANCIAL SERVICES TOTAL</b>		<b>\$</b>

**SPECIAL EXPENSES (Consultant/Etc.):**

1090	Consultant (network & data management)	\$
1091	Translation Services	\$
1092	Medication Supports	\$
<b>SPECIAL EXPENSES TOTAL</b>		<b>\$</b>

**FIXED ASSETS:**

1190	Computers & Software	\$
1191	Furniture & Fixtures	\$
1192	Other - (Identify)	\$
<b>FIXED ASSETS TOTAL</b>		<b>\$</b>

**NON MEDI-CAL CLIENT SUPPORT EXPENSES:**

2000	Client Housing Support Expenditures (SFC 70)	\$
2001	Client Housing Operating Expenditures (SFC 71)	\$
2002.1	Clothing, Food & Hygiene (SFC 72)	\$
2002.2	Client Transportation & Support (SFC 72)	\$
2002.3	Education Support (SFC 72)	\$
2002.4	Employment Support (SFC 72)	\$
2002.5	Respite Care (SFC 72)	\$
2002.6	Household Items	\$
2002.7	Utility Vouchers (SFC 72)	\$
2002.8	Child Care (SFC 72)	\$
<b>NON MEDI-CAL CLIENT SUPPORT TOTAL</b>		<b>\$</b>
<b>TOTAL PROGRAM EXPENSES</b>		<b>\$</b>

<b>MEDI-CAL REVENUE:</b>		<b>Units of Service</b>	<b>Rate</b>	<b>Amount</b>
3000	Mental Health Services (Individual/Family/Group Therapy)			\$
3100	Case Management			\$
3200	Crisis Services			\$
3300	Medication Support			\$
3400	Collateral			\$
3500	Plan Development			\$
3600	Assessment			\$
3700	Rehabilitation			\$
Estimated Medi-Cal Billing Totals				\$
% of Federal Financial Participation Reimbursement				\$
% of Early and Periodic Screening, Diagnostic, and Treatment Reimbursement				\$
<b>MEDI-CAL REVENUE TOTAL</b>				<b>\$</b>

**OTHER REVENUE:**

4000	Other - (Identify)	\$
4100	Other - (Identify)	\$
<b>OTHER REVENUE TOTAL</b>		<b>\$</b>

**MHSA FUNDS:**

5000	Prevention & Early Intervention Funds	\$
5100	Community Services & Supports Funds	\$
5200	Innovation Funds	\$
5300	Workforce Education & Training Funds	\$
<b>MHSA FUNDS TOTAL</b>		<b>\$</b>
<b>TOTAL PROGRAM REVENUE</b>		<b>\$</b>



Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2019-6/30/2020**PROGRAM EXPENSES****Personnel Salaries, Payroll Taxes & Employee Benefits - Line Items 0001- 0042**

These amounts reflect FTE positions, part-time positions and whether the positions are administrative or direct service. Employee benefits should be limited to a maximum of 20% of total salaries.

\$ \_\_\_\_\_

**Facilities/Equipment Expenses – Line Items 1010-1014**

Identify building lease/rent expenses, equipment (office equipment, vehicles, etc.). Attach copy of lease agreements if available.

\$ \_\_\_\_\_

**Operating Expenses - Line Items 1060-1077**

Identify and detail the expenses for each item utilized for program.

\$ \_\_\_\_\_

**Financial Services Expenses – Line Items 1080-1085**

Local and corporate administrative costs are limited to 15% of the total program budget. Copies of insurance policies are required.

\$ \_\_\_\_\_

**Special Expenses – Line Items 1090-1092**

Detail each line item in Special Expenses.

\$ \_\_\_\_\_

**Fixed Assets – Line Items 1190-1193**

Include all purchases over Five Thousand Dollars (\$5,000) including sales tax, and certain purchases under said amount such as camera, televisions, VCRs/DVDs and other sensitive items, made during the life of the Agreement resulting from this Request for Proposal, with funds paid pursuant to this Agreement and that will outlive the life of this Agreement.

\$ \_\_\_\_\_

**Non-Medi-Cal Client Support Expenses – Line Items 2000-2002.8**

Detail any anticipated expenditures for clients.

\$ \_\_\_\_\_

**TOTAL PROGRAM EXPENSE: \$ \_\_\_\_\_**

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2019-6/30/2020

### Medi-Cal Revenues - Line Item 3000-3700

Medi-Cal Revenue projected based on Short-Doyle/Medi-Cal Reimbursement Rates. To follow are a series of tables that delineate the methodology for determining projected revenue. (For services to individuals under 21 years old).

Unduplicated Patient Caseload		#
Estimated Total Number of Unduplicated Patients (0-21 years) to be served		

Patient Caseload by Insurance Type	%		#
Medi-Cal			
Uninsured - Sliding Fee Full Discount			
Other			
Total Number of Unduplicated Patients			

Determining Units of Service for Medi-Cal Patients				#	#	#
	Total Visits each Year for each Patient			Minutes	Patients	Units
	Assessments Visit(s)/Patient @		minutes/each for a total of			
	Treatment Visit(s)/Patient @		minutes/each for a total of			
	Patient Exit Visit(s)/Patient @		minutes/each for a total of			
Total Number of Billable Minutes Per Year						

Determining Service Type for Medi-Cal Patients			%	#
			Units	Units
Mental Health Services (Individual/Family/Group Therapy)				
Case Management				
Crisis Services				
Medication Support				
Collateral				
Plan Development				
Assessment				
Rehabilitation				
Total Number of Billable Minutes Per Year			%	

Determining Reimbursement for Units of Service for Medi-Cal Patients	#	\$	\$
	Units	Unit Rate	Amount
Mental Health Services (Individual/Family/Group Therapy)			\$
Case Management			\$
Crisis Services			\$
Medication Support			\$
Collateral			\$
Plan Development			\$
Assessment			\$
Rehabilitation			\$
<i>Total Reimbursement for Billable Minutes Per Year</i>			\$

<i>Estimated % of Federal Financial Participation (FFP) Reimbursement</i>	%
<i>Estimated % of Early and Periodic Screening, Diagnostic and Treatment (EPSDT) Reimbursement</i>	%

<b>TOTAL ESTIMATED MEDI-CAL REVENUE</b>	<b>\$</b>
---	-----------

**Other Revenues - Line Items 4000-4300**

\$

Other: Private Insurance - \_\_\_ patients @ \_\_\_ visits/yr/patient at \$\_\_\_ a visit

**MHSA FUNDS - Line Items 5000-5300**

\$

**TOTAL REVENUE****\$**

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2020-6/30/2021

Budget Categories - Line Item Description (Must be itemized)		FTE %	Total Proposed Budget		
			Admin.	Direct	Total
<b>PERSONNEL SALARIES (List titles):</b>					
0001	_____	_____	_____	_____	\$ _____
0002	_____	_____	_____	_____	\$ _____
0003	_____	_____	_____	_____	\$ _____
0004	_____	_____	_____	_____	\$ _____
0005	_____	_____	_____	_____	\$ _____
0006	_____	_____	_____	_____	\$ _____
0007	_____	_____	_____	_____	\$ _____
0008	_____	_____	_____	_____	\$ _____
0009	_____	_____	_____	_____	\$ _____
0010	_____	_____	_____	_____	\$ _____
0011	_____	_____	_____	_____	\$ _____
0012	_____	_____	_____	_____	\$ _____
<b>SALARY TOTAL</b>					\$ _____
<b>PAYROLL TAXES:</b>					
0030	OASDI		_____	_____	\$ _____
0031	FICA/MEDICARE		_____	_____	\$ _____
0032	SUI		_____	_____	\$ _____
<b>PAYROLL TAX TOTAL</b>					\$ _____
<b>EMPLOYEE BENEFITS:</b>					
0040	Retirement		_____	_____	\$ _____
0041	Workers Compensation		_____	_____	\$ _____
0042	Health Insurance (medical, vision, life, dental)		_____	_____	\$ _____
<b>EMPLOYEE BENEFITS TOTAL</b>					\$ _____
<b>SALARY &amp; BENEFITS GRAND TOTAL</b>					\$ _____

**FACILITIES/EQUIPMENT EXPENSES:**

1010	Rent/Lease Building	\$ _____
1011	Rent/Lease Equipment	\$ _____
1012	Utilities	\$ _____
1013	Building Maintenance	\$ _____
1014	Equipment purchase	\$ _____
<b>FACILITY/EQUIPMENT TOTAL</b>		\$ _____

**OPERATING EXPENSES:**

1060	Telephone	\$
1061	Answering Service	\$
1062	Postage	\$
1063	Printing/Reproduction	\$
1064	Publications	\$
1065	Legal Notices/Advertising	\$
1066	Office Supplies & Equipment	\$
1067	Household Supplies	\$
1068	Food	\$
1069	Program Supplies - Therapeutic	\$
1070	Program Supplies - Medical	\$
1071	Transportation of Clients	\$
1072	Staff Mileage/vehicle maintenance	\$
1073	Staff Travel (Out of County)	\$
1074	Staff Training/Registration	\$
1075	Lodging	\$
1076	Other - (Identify)	\$
1077	Other - (Identify)	\$
<b>OPERATING EXPENSES TOTAL</b>		<b>\$</b>

**FINANCIAL SERVICES EXPENSES:**

1080	Accounting/Bookkeeping	\$
1081	External Audit	\$
1082	Liability Insurance	\$
1083	Administrative Overhead	\$
1084	Payroll Services	\$
1085	Professional Liability Insurance	\$
<b>FINANCIAL SERVICES TOTAL</b>		<b>\$</b>

**SPECIAL EXPENSES (Consultant/Etc.):**

1090	Consultant (network & data management)	\$
1091	Translation Services	\$
1092	Medication Supports	\$
<b>SPECIAL EXPENSES TOTAL</b>		<b>\$</b>

**FIXED ASSETS:**

1190	Computers & Software	\$
1191	Furniture & Fixtures	\$
1192	Other - (Identify)	\$
<b>FIXED ASSETS TOTAL</b>		<b>\$</b>

**NON MEDI-CAL CLIENT SUPPORT EXPENSES:**

2000	Client Housing Support Expenditures (SFC 70)	\$
2001	Client Housing Operating Expenditures (SFC 71)	\$
2002.1	Clothing, Food & Hygiene (SFC 72)	\$
2002.2	Client Transportation & Support (SFC 72)	\$
2002.3	Education Support (SFC 72)	\$
2002.4	Employment Support (SFC 72)	\$
2002.5	Respite Care (SFC 72)	\$
2002.6	Household Items	\$
2002.7	Utility Vouchers (SFC 72)	\$
2002.8	Child Care (SFC 72)	\$
<b>NON MEDI-CAL CLIENT SUPPORT TOTAL</b>		<b>\$</b>
<b>TOTAL PROGRAM EXPENSES</b>		<b>\$</b>

<b>MEDI-CAL REVENUE:</b>		<b>Units of Service</b>	<b>Rate</b>	<b>Amount</b>
3000	Mental Health Services (Individual/Family/Group Therapy)			\$
3100	Case Management			\$
3200	Crisis Services			\$
3300	Medication Support			\$
3400	Collateral			\$
3500	Plan Development			\$
3600	Assessment			\$
3700	Rehabilitation			\$
Estimated Medi-Cal Billing Totals				\$
% of Federal Financial Participation Reimbursement				\$
% of Early and Periodic Screening, Diagnostic, and Treatment Reimbursement				\$
<b>MEDI-CAL REVENUE TOTAL</b>				<b>\$</b>

**OTHER REVENUE:**

4000	Other - (Identify)	\$
4100	Other - (Identify)	\$
<b>OTHER REVENUE TOTAL</b>		<b>\$</b>

**MHSA FUNDS:**

5000	Prevention & Early Intervention Funds	\$
5100	Community Services & Supports Funds	\$
5200	Innovation Funds	\$
5300	Workforce Education & Training Funds	\$
<b>MHSA FUNDS TOTAL</b>		<b>\$</b>
<b>TOTAL PROGRAM REVENUE</b>		<b>\$</b>

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2020-6/30/2021**PROGRAM EXPENSES****Personnel Salaries, Payroll Taxes & Employee Benefits - Line Items 0001- 0042**

These amounts reflect FTE positions, part-time positions and whether the positions are administrative or direct service. Employee benefits should be limited to a maximum of 20% of total salaries.

\$ \_\_\_\_\_

**Facilities/Equipment Expenses – Line Items 1010-1014**

Identify building lease/rent expenses, equipment (office equipment, vehicles, etc.). Attach copy of lease agreements if available.

\$ \_\_\_\_\_

**Operating Expenses - Line Items 1060-1077**

Identify and detail the expenses for each item utilized for program.

\$ \_\_\_\_\_

**Financial Services Expenses – Line Items 1080-1085**

Local and corporate administrative costs are limited to 15% of the total program budget. Copies of insurance policies are required.

\$ \_\_\_\_\_

**Special Expenses – Line Items 1090-1092**

Detail each line item in Special Expenses.

\$ \_\_\_\_\_

**Fixed Assets – Line Items 1190-1193**

Include all purchases over Five Thousand Dollars (\$5,000) including sales tax, and certain purchases under said amount such as camera, televisions, VCRs/DVDs and other sensitive items, made during the life of the Agreement resulting from this Request for Proposal, with funds paid pursuant to this Agreement and that will outlive the life of this Agreement.

\$ \_\_\_\_\_

**Non-Medi-Cal Client Support Expenses – Line Items 2000-2002.8**

Detail any anticipated expenditures for clients.

\$ \_\_\_\_\_

**TOTAL PROGRAM EXPENSE: \$ \_\_\_\_\_**

Agency Name: \_\_\_\_\_

Program Name: \_\_\_\_\_ Fiscal Year: 7/1/2020-6/30/2021

### Medi-Cal Revenues - Line Item 3000-3700

Medi-Cal Revenue projected based on Short-Doyle/Medi-Cal Reimbursement Rates. To follow are a series of tables that delineate the methodology for determining projected revenue. (For services to individuals under 21 years old).

Unduplicated Patient Caseload		#
Estimated Total Number of Unduplicated Patients (0-21 years) to be served		

Patient Caseload by Insurance Type	%		#
Medi-Cal			
Uninsured - Sliding Fee Full Discount			
Other			
Total Number of Unduplicated Patients			

Determining Units of Service for Medi-Cal Patients				#	#	#
	Total Visits each Year for each Patient			Minutes	Patients	Units
	Assessments Visit(s)/Patient @		minutes/each for a total of			
	Treatment Visit(s)/Patient @		minutes/each for a total of			
	Patient Exit Visit(s)/Patient @		minutes/each for a total of			
Total Number of Billable Minutes Per Year						

Determining Service Type for Medi-Cal Patients			%	#
			Units	Units
Mental Health Services (Individual/Family/Group Therapy)				
Case Management				
Crisis Services				
Medication Support				
Collateral				
Plan Development				
Assessment				
Rehabilitation				
Total Number of Billable Minutes Per Year			%	



Determining Reimbursement for Units of Service for Medi-Cal Patients	#	\$	\$
	Units	Unit Rate	Amount
Mental Health Services (Individual/Family/Group Therapy)			\$
Case Management			\$
Crisis Services			\$
Medication Support			\$
Collateral			\$
Plan Development			\$
Assessment			\$
Rehabilitation			\$
<i>Total Reimbursement for Billable Minutes Per Year</i>			\$

<i>Estimated % of Federal Financial Participation (FFP) Reimbursement</i>	%
<i>Estimated % of Early and Periodic Screening, Diagnostic and Treatment (EPSDT) Reimbursement</i>	%

<b>TOTAL ESTIMATED MEDI-CAL REVENUE</b>	<b>\$</b>
---	-----------

**Other Revenues - Line Items 4000-4300**

\$

Other: Private Insurance - \_\_\_ patients @ \_\_\_ visits/yr/patient at \$\_\_\_ a visit

**MHSA FUNDS - Line Items 5000-5300**

\$

**TOTAL REVENUE****\$**