# AGREEMENT FOR SPECIALIZED PROFESSIONAL ENVIRONMENTAL CONSULTANT SERVICES

	THIS	AGREEME	NT FOF	R SPECI	ALIZED	PROFESS	IONAL
ENVIRONN	MENTAL CO	ONSULTANT	SERVICE	S (hereinafte	er called "	AGREEMEN'	T"), is
made and	entered int	o this	day of _		_, 2007, b	y and betwe	en the
County of	Fresno, a <sub>l</sub>	political subc	division of t	he State of	California,	(hereinafter	called
"COUNTY"	), and <b>N</b> A	ME AND	ADDRESS	OF CONS	ULTANT	(hereinafter	called
"CONSULT	ANT").						

## WITNESSETH:

WHEREAS, COUNTY desires to retain CONSULTANT to provide specialized professional environmental consultant services necessary to assist COUNTY in complying with federal and state environmental laws, regulations and guidelines in effect at the time each required individual environmental technical study is prepared for transportation related and capital improvement projects (hereinafter referred to as "PROJECT(S)") proposed by COUNTY; and

WHEREAS, said CONSULTANT has been selected in accordance with COUNTY'S Ordinance Code Chapter 4.10 governing the selection of architects, engineers, and other professionals to provide the specialized professional environmental services necessary for PROJECTS; and

WHEREAS, said CONSULTANT represents that it is qualified and willing to perform the environmental services required by COUNTY for various PROJECTS.

NOW, THEREFORE, the parties hereby agree as follows:

### I. <u>CONTRACTING OF CONSULTANT:</u>

A. COUNTY hereby contracts with CONSULTANT as an independent contractor to provide specialized professional environmental consultant services as required for various PROJECTS. Said services are described in Article II and enumerated in Article III herein.

- B. CONSULTANT may retain subconsultants for the purpose of conducting a study which requires assistance in completing the work. All subconsultants used by CONSULTANT shall be approved by the COUNTY'S Department of Public Works and Planning Director or his designee before they are retained by CONSULTANT, which approval shall not be unreasonably withheld. The subconsultants listed in Appendix A, attached hereto and incorporated herein, shall be considered as approved by COUNTY'S Department of Public Works and Planning Director or his designee. Should CONSULTANT retain any subconsultants, the maximum amount of compensation to be paid to CONSULTANT under Article V below shall not be increased, and any additional compensation to be paid to CONSULTANT for such subconsultant(s)' work shall be limited to a maximum of ten (10%) percent of the total costs incurred by CONSULTANT as a result of the subconsultant(s)' involvement in any PROJECT. Additional fees other than the 10% markup on subconsultant charges will not be reimbursed.
- C. CONSULTANT'S staff for its PROJECT team shall be as listed in Appendix B. Any substitutions of personnel shall be approved by COUNTY'S Department of Public Works and Planning Director or his designee, which approval shall not be unreasonably withheld. CONSULTANT shall notify COUNTY'S Department of Public Works and Planning Director or his designee of the names and classifications of employees assigned to each specific PROJECT, and shall not reassign such employees to other projects of CONSULTANT without notification to and prior approval by COUNTY'S Department of Public Works and Planning Director or his designee.
- D. CONSULTANT'S services shall be performed as expeditiously as is consistent with professional skill and the orderly progress of the work, based on schedules for each specific PROJECT mutually agreed upon in advance by COUNTY'S Department of Public Works and Planning Director or his designee and CONSULTANT, and consistent with schedules established under Article XXII, Time of Completion.
  - E. CONSULTANT and affiliated subcontractors shall not submit bids, or

subbids, for the construction phase of a PROJECT assigned to CONSULTANT. CONSULTANT and its subconsultants, and all other service providers, shall not provide any PROJECT-related services for, or receive any PROJECT-related compensation from any contractor, subcontractor or service provider awarded a contract for all or any portion of PROJECT assigned to CONSULTANT. CONSULTANT and its subconsultants, and all other service providers, may provide services for, and receive compensation from a contractor, subcontractor or service provider awarded a contract for all or any portion of PROJECT, provided that any such services which are rendered, and any compensation which is received therefor, relates to work outside the scope of AGREEMENT and does not pose a conflict of interest.

F. Unless and until such time as COUNTY'S Department of Public Works and Planning Director or his designee is notified in writing of any change, the Contact person(s) for CONSULTANT for all matters relating to AGREEMENT shall be:

NAME

**ADDRESS** 

PHONE and FAX

## II. <u>DESCRIPTION OF THE WORK COVERED BY AGREEMENT:</u>

A. The work to be performed by CONSULTANT under AGREEMENT includes all specialized professional services under Article III, as may be needed by COUNTY from time to time, including preparing environmental technical studies for COUNTY as required for transportation related and capital improvement projects undertaken by COUNTY. Each such individual environmental technical study application assigned hereunder for a specific PROJECT must comply with all applicable state and federal environmental laws and regulations including, but not limited to, the National Environmental Policy Act (NEPA), California Environmental Quality Act (CEQA), the California Code of Regulations (including but not limited to the CEQA Guidelines set forth therein), the United States Code of Federal Regulations (CFR), the Federal and

State and Endangered Species Acts (ESA), National Historic Preservation Act (NHPA), the Clean Water Act (CWA) and any applicable presidential and gubernatorial Executive Orders. Each such environmental technical study must also comply with the latest environmental provisions of the Local Assistance Procedures Manual and Local Programs Manual Volume III, which are published by the California Department of Transportation (Caltrans) – Office of Local Programs, District 06, to the full extent any provisions thereof relate or have applicability to such Projects.

The phrase "transportation related projects," as used herein, includes, but is not limited to, traffic signal, bridge rehabilitation, bridge replacement, bike paths and road reconstruction projects. The phrase "capital improvement projects," as used herein, includes, but is not limited to, construction of new facilities, changes in the use of the existing buildings, remodeling, alteration and additions to existing buildings and demolition projects.

The phrase "environmental technical studies," as used herein, includes environmental technical studies including, but not limited to, those relating to one or more of the following: biological resources, visual impacts (aesthetics), hazardous material, wetlands, noise, floodplains, air quality, water quality, relocation impacts, impacts to a publicly owned park, recreation area, wildlife or waterfowl refuge or land from a historic site, socio-economic impacts or mitigation and monitoring. The extent to which such evaluations are required for a PROJECT will be determined by COUNTY'S preparation of Preliminary Environmental Study ("PES") required under NEPA and/or an initial study as required under CEQA.

B. CONSULTANT agrees to provide the professional services that are necessary to complete the studies requested by COUNTY'S Department of Public Works and Planning Director or his designee for each assigned PROJECT, as described in Article III of AGREEMENT, together with Appendix C (the "Project Approach" section of CONSULTANT'S Response to Request for Proposal) and Appendix D (the Fee

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Schedule), which appendices are attached hereto and incorporated by this reference. Throughout the length of each assigned PROJECT, CONSULTANT shall consult, communicate and upon request meet with COUNTY'S Department of Public Works and Planning Director or his designee in order for CONSULTANT to verify, refine and complete the assigned PROJECT requirements and review the progress of PROJECT.

- C. CONSULTANT shall prepare minutes of the meetings attended and shall provide a copy of all such minutes to COUNTY'S Department of Public Works and Planning Director or his designee within seven (7) working days.
- D. CONSULTANT represents that it is aware of all Regulatory Agencies that will or may be involved in the various PROJECTS, encompassed by AGREEMENT including, but not limited to, the California Regional Water Quality Control Board (Region 5), San Joaquin Valley Unified Air Pollution Control District, U.S. Army Corps of Engineers, State Department of Fish and Game, U.S. Fish and Wildlife Service, U.S. Department of Transportation Federal Highway Association, State Department Office of Historic Preservation, and the U.S. Department of Agriculture Forest Service. CONSULTANT shall obtain any and all requisite approvals from such Regulatory Agencies as are necessary for any specific aspects of each proposed PROJECT. CONSULTANT must verify details where approval from Regulatory Agencies is required.

## III. <u>CONSULTANT'S SERVICES:</u>

CONSULTANT shall consult and communicate with COUNTY'S Department of Public Works and Planning Director or his designee to verify, and refine the scope of each assigned PROJECT, and CONSULTANT thereafter shall provide a detailed fee estimate and estimated time schedule for completion of each PROJECT. CONSULTANT agrees that each professional or other individual performing work on any such PROJECT shall be adequately trained to perform the work and shall possess the proper license, certification or registration as required by law or by accepted standards of the applicable profession. CONSULTANT agrees to provide the professional services

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that are necessary to complete the following tasks when expressly authorized in writing

#### Α. Record Review:

1. CONSULTANT shall research as necessary the available files of the federal, state, regional and local agencies appropriate to the site location for each assigned PROJECT.

by the COUNTY'S Department of Public Works and Planning Director or his designee.

2. CONSULTANT shall contact federal, state and local agencies as necessary and appropriate for each assigned PROJECT. CONSULTANT also shall contact local experts and residents as necessary and appropriate for each Project.

#### B. Field Surveys:

CONSULTANT shall conduct a field survey for each assigned PROJECT that requires a visual inspection of PROJECT area complying with all applicable federal and state environmental laws, regulations and guidelines.

#### C. **Technical Reports:**

- Technical reports shall be prepared and submitted to the Design Division of the Department of Public Works and Planning for each assigned PROJECT. Technical reports shall be prepared in accordance with the appropriate format required by any state and federal environmental laws, regulations and guidelines.
- When requested by COUNTY'S Department of Public Works and Planning Director or his designee, CONSULTANT shall attend meetings with COUNTY, federal, state and/or local representatives to discuss and review the technical report. CONSULTANT shall prepare brief minutes of meetings attended and promptly submit the minutes to COUNTY'S Department of Public Works and Planning Director or his designee within seven (7) days.
- CONSULTANT shall submit each technical report to COUNTY'S Department of Public Works and Planning Director or his designee for transmittal to Caltrans District 06 Local Assistance Engineer (DLAE) and/or other appropriate agencies

as necessary until it is approved by all of the appropriate agencies. Standard submittal shall be five (5) reproducible copies and one (1) electronic copy of each technical report. CONSULTANT shall verify the required quantity with COUNTY'S Department of Public Works and Planning Director or his designee prior to submittal.

4. CONSULTANT shall prepare technical studies and estimates on

for review and approval. CONSULTANT will revise and resubmit each technical report

- 4. CONSULTANT shall prepare technical studies and estimates on 8 ½" by 11" pages, provide hard copy and electronic format as standard submittal and prepare documents in Microsoft Word 2003 or Adobe 7.0. Such submittals shall be furnished on compact disk (CD-ROM). CONSULTANT shall verify compatible format and quantity prior to final file delivery.
- 5. CONSULTANT shall submit five (5) hard copies of each drawing prepared with a CAD system and an electronic copy in the form of a .DXF or .DWG files. Such submittals shall be furnished on compact disk (CD-ROM). CONSULTANT shall verify compatible format and quantity prior to final file delivery.

## D. <u>Environmental Impact Report or Environmental Impact Statement:</u>

CONSULTANT may prepare an Environmental Impact Report or an Environmental Impact Statement, to the extent directed by COUNTY'S Department of Public Works and Planning Director or his designee, if such documents are necessary for any assigned PROJECT. CONSULTANT shall comply with all submittal requirements of Section C of this Article (unless otherwise specified by COUNTY'S Department of Public Works and Planning Director or his designee) and shall be compensated for such work at the hourly rates set forth in AGREEMENT.

## E. <u>CEQA Phase:</u>

CONSULTANT may be requested to prepare (in accordance with the submittal requirements of Section C of this Article, unless otherwise specified by COUNTY'S Department of Public Works and Planning Director or his designee) all necessary and appropriate documents and studies to complete the environmental

process in accordance with Section 15063 of the CEQA Guidelines.

The CEQA phase includes, but is not limited to, PROJECT initiation and organization, preparation and completion of PROJECT description, data compilation and review, impact assessment, development of mitigation measures, response to comments received from the public and public agencies, PROJECT management and public meeting attendance.

CONSULTANT will be required to manage all appropriate environmental work in conjunction with COUNTY'S Department of Public Works and Planning Director or his designee to determine the final proper environmental determination for PROJECT.

## F. <u>Project Management and Meetings:</u>

CONSULTANT shall perform ongoing project management throughout the duration of PROJECT, which shall include, but is not limited to, facilitation and participation in meetings and communications between COUNTY'S Department of Public Works and Planning Director or his designee and regulatory agencies, with the objective of documenting project completion and agency requirements.

## CONSULTANT shall perform the following tasks:

- 1. Meet as necessary with COUNTY'S Department of Public Works and Planning Director or his designee or any regulating agency including, but not limited to, the California Regional Water Quality Control Board (Region 5), San Joaquin Valley Unified Air Pollution Control District, U.S. Army Corps of Engineers, State Department of Fish and Game, U.S. Fish and Wildlife Service, U.S. Department of Transportation Federal Highway Association, State Department Office of Historic Preservation and the U.S. Department of Agriculture Forest Service.
- Ensure PROJECT will conform to requirements of the reviewing agencies having jurisdiction over PROJECT.
- 3. Identify requirements, unforeseen criteria or issues for PROJECT that may affect COUNTY and communicate these requirements, criteria or issues to the

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designated representative.

 Assist COUNTY in determining all permits that may be required for PROJECT and assist COUNTY with preparation of applications for any required permits.

## IV. <u>COUNTY'S OBLIGATIONS:</u>

**COUNTY** will:

- A. Compensate CONSULTANT as provided in AGREEMENT.
- B. Provide a "COUNTY Representative" who will represent COUNTY'S Department of Public Works and Planning Director or his designee and who will coordinate with CONSULTANT, as appropriate to facilitate its performance under the provisions of AGREEMENT. COUNTY Representative will be COUNTY Design Engineer or her authorized designee. CONSULTANT shall communicate and coordinate with COUNTY Representative who will provide the following services:
  - 1. Provide a location map and detailed description for each PROJECT.
  - 2. Obtain Permit of Entry from private property owners as needed.
- 3. Loan or provide copies of any relevant data on file to CONSULTANT including any electronic data files if requested.
  - 4. Provide a drawing showing areas to be affected by construction.
- Examine documents submitted to COUNTY'S Department of Public
   Works and Planning Director or his designee by CONSULTANT and render timely decisions pertaining thereto.
- C. Give reasonably prompt consideration to all matters submitted by CONSULTANT for approval so that there will be no substantial delays in CONSULTANT'S program of work. An approval, authorization or request to CONSULTANT given by COUNTY'S Department of Public Works and Planning Director or his designee will be binding upon COUNTY'S Department of Public Works and Planning Director or his designee under the terms of AGREEMENT only if it is made in writing and signed on behalf of COUNTY by COUNTY'S Department of Public Works

and Planning Director or his designee.

## V. <u>COMPENSATION:</u>

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## A. <u>Total Fee</u>:

1. Notwithstanding any other provisions in AGREEMENT, the Total

Fee (Basic Fee and Extra Services Allocation) for the services required under AGREEMENT shall not exceed the total sum of \$249,000.00 over the entire term of AGREEMENT. The term of AGREEMENT is three years. Compensation for the

services rendered shall be computed at the hourly and cost rates shown in Appendix D, attached and incorporated herein, subject to any adjustments that may be approved in

accordance with Article V, Section A, Paragraph 3.

- The hourly and cost rates listed herein for services rendered by CONSULTANT and subconsultants of CONSULTANT shall remain in effect for the entire duration of AGREEMENT unless adjusted in accordance with the provisions of Article V, Section A, Paragraph 3.
- 3. The hourly and cost rates paid for services performed by CONSULTANT and by subconsultants of CONSULTANT will be in effect for two years. CONSULTANT may request new labor rates from COUNTY for the third year, subject to written approval of COUNTY'S Director of Public Works and Planning or his designee in accordance with the provisions of this Article V, Section A, Paragraph 3. CONSULTANT shall initiate the rate adjustment process by submitting to COUNTY'S Department of Public Works and Planning Director or his designee a proposed adjusted fee schedule. The proposed adjusted fee schedule shall include proposed hourly rates for all categories of CONSULTANT and subconsultant wage classifications listed in Appendix D. The proposed adjusted fee schedule shall not take effect unless approved in writing by COUNTY'S Director of Public Works and Planning or his designee. CONSULTANT hereby acknowledges its understanding that approval by COUNTY'S Department of Public Works and Planning Director or his designee of any upward adjustment in the

hourly and cost rates shall not provide a basis for any increase in the Total Fee of \$249,000.00, as set forth in Article V, Section A, Paragraph 1.

- 3. Expenses incidental to CONSULTANT'S and subconsultant's performance of services under Article III of AGREEMENT shall be charged at the rates listed in Appendix D, subject to any adjustments that may be approved in accordance with Article V, Section A, Paragraph 3. Unless incorporated in an adjusted fee schedule approved by COUNTY'S Director of Public Works and Planning or his designee in accordance with Article V, Section A, Paragraph 2, all expenses incidental to CONSULTANT'S and subconsultant's performance of the services under Article III of AGREEMENT that are not listed in Appendix D shall be borne by CONSULTANT.
- 4. Charge rates for expenses incidental to CONSULTANT'S and subconsultant's performance of services under Article III of AGREEMENT may be adjusted in accordance with the provisions of Article V, Section A, Paragraph 3. CONSULTANT shall initiate the rate adjustment process by submitting to COUNTY'S Department of Public Works and Planning Director or his designee a proposed adjusted fee schedule for expenses incidental to CONSULTANT'S and subconsultant's performance of services. The proposed adjusted fee schedule for incidental expenses shall not take effect unless approved in writing by COUNTY'S Director of Public Works and Planning or his designee. CONSULTANT hereby acknowledges its understanding that approval by COUNTY'S Department of Public Works and Planning Director or his designee of any upward adjustment in the fee schedule for incidental expenses shall not provide a basis for any increase in the Total Fee of \$249,000.00, as set forth in Article V, Section A, Paragraph 1.

## B. Basic Fee:

There will be a maximum Basic Fee of \$240,000.00 over the entire term of AGREEMENT. The Basic Fee shall consist of a maximum Basic Fee for each assigned PROJECT, agreed to by CONSULTANT and COUNTY Representative.

The agreed upon maximum Basic Fee applicable to a specific PROJECT shall be confirmed in writing by the COUNTY'S Director of Public Works and Planning or his designee prior to CONSULTANT'S commencement of any work on that PROJECT.

2. When CONSULTANT'S performance of Article III services at COUNTY'S request is not attributable to a specific PROJECT as defined in Articles II and Article III hereof, such services shall be invoiced based on the hourly rates set forth in Appendix D hereto.

## C. <u>Extra Services</u>:

- term of AGREEMENT to pay for authorized Extra Services. Payment of Extra Services in excess of said amount is unauthorized and can only be made pursuant to the advance written authorization by COUNTY'S Director of Public Works and Planning or his designee. CONSULTANT and COUNTY'S Director of Public Works and Planning or his designee shall expressly confirm in writing the authorization and maximum cost for any such services before CONSULTANT is compensated for any work thereon.

  CONSULTANT shall not add mark up percentages or costs to subconsultant's costs unless expressly authorized in writing by COUNTY'S Director of Public Works and Planning or his designee.
- 2. The COUNTY'S Director of Public Works and Planning or his designee shall have the discretion to adjust in writing the Basic Fee and Extra Services limits as long as the Total Fee of **\$249,000.00** for the entire term of AGREEMENT is not exceeded.
- Payment for Extra Services will be at the hourly and cost rates set forth in Appendix D, subject to any adjustments that may be approved in accordance with Article V, Section A, Paragraph 3.
- 4. The following are CONSULTANT services, which are not considered as included in Article III herein, but may be required as Extra Services:

a. Changes to documents which are ordered by COUNTY'S Director of Public Works and Planning or his designee subsequent to Federal Highway Administration (FHWA), Caltrans District 06 LAE, COUNTY and other appropriate agencies' approval thereof.

- b. Changes to technical reports, permit applications or other documents when PROJECT scope is changed on the basis of COUNTY-initiated requests, and such changes are not a result of negligent errors and omissions by CONSULTANT.
- c. Providing unforeseen, extraordinary, or unique services or items not covered nor normally included in the Basic Fee, but authorized by COUNTY'S Director of Public Works and Planning or his designee.
- 5. If CONSULTANT becomes aware of potential unforeseen expenses that would not be covered by the Basic Fee of AGREEMENT or for Extra Services as delineated in Article V.C. Section 4, CONSULTANT shall inform COUNTY'S Director of Public Works and Planning or his designee of the extent and nature of such expenses or services. Upon mutual agreement of CONSULTANT and COUNTY'S Director of Public Works and Planning or his designee, AGREEMENT may be amended in writing to cover such unforeseen expense or cost of extra service.
- 6. In the event COUNTY Representative expressly authorizes Extra Services, CONSULTANT shall keep complete records showing the hours and description of activities worked by each person pursuant to such authorization, and all costs and charges applicable to authorized Extra Services work. Should there be a claim for Extra Services, CONSULTANT shall identify the activity, performer of the activity, reason for the activity, and COUNTY official requesting the activity or the claim will be denied. CONSULTANT shall be responsible for all subconsultants keeping similar records. CONSULTANT shall not discontinue or suspend its performance on any work hereunder, whether related or unrelated to the Extra Services request or claim,

unless it can be shown that such work cannot proceed while a claim or request for Extra Services is being evaluated.

#### D. Payments:

1. Progress payments will be made by COUNTY upon receipt of CONSULTANT'S invoices and approval by COUNTY thereof based on COUNTY'S Director of Public Works and Planning or his designee evaluation of the completion of the respective components of the assigned PROJECT. Invoices shall clearly identify the portion of the work and the Phase and Task of the work, and shall be submitted with the documentation identified in Article V.D.5. CONSULTANT shall submit separate invoices for Extra Services, accompanied with copies of subconsultants' invoices and costs for approved incidentals. Invoices shall be forwarded to:

> Mohammad Alimi, P.E., Senior Engineer Department of Public Works and Planning **Design Division** 2220 Tulare Street, Sixth Floor Fresno, CA 93721-2106

2. Upon receipt of a proper invoice, COUNTY will take a maximum of ten (10) working days to review, approve and submit it to COUNTY Auditor-Controller/Treasurer-Tax Collector. Unsatisfactory or inaccurate invoices will be returned to CONSULTANT for correction and resubmittal. Payment, less retention, will be issued to CONSULTANT within forty (40) calendar days of the date the Auditor-Controller/Treasurer-Tax Collector receives the approved invoice.

- 3. COUNTY is entitled to withhold a ten percent (10%) retention from the earned compensation in accordance with the provisions of Article VII of AGREEMENT.
- 4. An unresolved dispute over a possible error or omission may cause payment of CONSULTANT fees in the disputed amount to be withheld by

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5. Concurrently with the invoices, CONSULTANT shall certify (through copies of issued checks, receipts or other COUNTY pre-approved documentation) that complete payment, less a ten percent (10%) retention, has been made to all subconsultants as provided herein for all previous invoices paid by COUNTY. However, the parties do not intend that the foregoing create in any subconsultant or subcontractor a third party beneficiary status or any third party beneficiary rights, and expressly disclaim any such status or rights.

- 6. Final invoices and separate invoices for retentions shall be submitted to COUNTY no later than thirty (30) days after the phase is completed. Payment for retentions shall not be made until all services for the phase are completed.
- 7. In the event COUNTY'S Director of Public Works and Planning or his designee reduces the scope of CONSULTANT'S work under AGREEMENT for a specific PROJECT (or discontinues a specific PROJECT), whether due to a deficiency in the appropriation of anticipated funding or otherwise, CONSULTANT will be compensated on a pro rata basis for actual work completed and accepted by COUNTY'S Director of Public Works and Planning or his designee in accordance with the terms of AGREEMENT.

## VI. COMPENSATION RECORDS:

CONSULTANT shall keep complete records showing the hours and description of activities performed by each person who works on each PROJECT assigned hereunder, and all associated costs or charges applicable to work covered by the Basic Fee and approved Extra Services. CONSULTANT will be responsible for all subconsultants keeping similar records.

## VII. RETENTION FROM EARNED COMPENSATION:

A. In addition to any amounts withheld under Article III, COUNTY is entitled to withhold a ten percent (10%) retention from the earned compensation of

CONSULTANT and except as otherwise provided herein, such retention shall be applied to all CONSULTANT services performed under AGREEMENT, including Extra Services. Provided, however, that COUNTY'S Director of Public Works and Planning or his designee has the option to dispense with the requirement to withhold retentions as to any CONSULTANT service(s) specifically designated by the COUNTY'S Director of Public Works and Planning or his designee, in his sole and absolute discretion, as exempt from such requirement for the purposes of this AGREEMENT.

- B. At the request and expense of CONSULTANT, securities equivalent to the amount withheld shall be deposited with COUNTY or with a state or federally chartered bank in California as the escrow agent, in accordance with Section 22300 of the California Public Contract Code, attached hereto as Appendix F and incorporated herein, which provides for the substitution of securities for any moneys withheld by a public agency to ensure performance under a contract.
- C. Unless released earlier in accordance with the provisions in Article VII, Section A, when the project contract has been satisfactorily executed to the eighty percent (80%) point of completion without major pending claims, disputes or other matters in question between the parties, COUNTY'S Director of Public Works and Planning or his designee may, at its discretion, reduce the retention from ten percent (10%) to five percent (5%), and the resulting surplus funds, less any current phase or Extra Service retention, will be paid by COUNTY to CONSULTANT at that time. The final retention of five percent (5%) will be paid in accordance with the payment provisions of AGREEMENT and upon receipt of proper invoice, forty-five (45) days after completion of all of CONSULTANT'S obligations under AGREEMENT, including the resolution of all claims and disputes between COUNTY and CONSULTANT.

## VIII. <u>AUDITS, ACCOUNTING AND INSPECTIONS ACCESS</u>:

 A. CONSULTANT shall at any time during regular business hours, and as often as COUNTY may deem necessary, make available for examination by State

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authorities or COUNTY Auditor-Controller/Treasurer-Tax Collector, or their authorized representatives, all of its records and data with respect to matters covered by AGREEMENT. CONSULTANT shall permit COUNTY to audit and inspect all invoices, materials, payment to subcontractor(s), payrolls, records of personnel, conditions of employment, and other data relating to matters covered by AGREEMENT.

B. CONSULTANT shall be subject to the examination and audit of the Auditor General for a period of three (3) years after final payment under AGREEMENT. (Government Code Section 8546.7)

#### IX. **INDEPENDENT CONTRACTOR:**

In performance of the work, duties, and obligations assumed by A. CONSULTANT under AGREEMENT, it is mutually understood and agreed that CONSULTANT, including any and all of CONSULTANT'S officers, agents and employees, will at all times be acting and performing as an independent contractor, and shall act in an independent capacity and not as an officer, agent, servant, employee, joint venturer, partner or associate of COUNTY. Furthermore, COUNTY shall have no right to control or supervise or direct the manner or method by which CONSULTANT shall perform its work and function. However, COUNTY shall retain the right to administer AGREEMENT so as to verify that CONSULTANT is performing its obligations in accordance with the terms and conditions thereof. CONSULTANT and COUNTY shall comply with all applicable provisions of law and the rules and regulations, if any, of governmental authorities having jurisdiction over matters the subject thereof.

B. Because of its status as an independent contractor, CONSULTANT shall have absolutely no right to employment rights and benefits available to COUNTY employees. CONSULTANT shall be solely liable and responsible for providing to, or on behalf of its employees all legally-required employee benefits. In addition, CONSULTANT shall be solely responsible and save COUNTY harmless from all matters relating to payment of CONSULTANT'S employees, including compliance with Social

Security, withholding, and all other regulations governing such matters. It is acknowledged that during the term of AGREEMENT CONSULTANT may be providing services to others unrelated to COUNTY or to AGREEMENT.

## X. PARTIES BOUND BY AGREEMENT:

AGREEMENT shall be binding upon COUNTY, CONSULTANT, and their successors in interest, legal representatives, executors, administrators, and assigns with respect to all covenants as set forth herein.

## XI. <u>REQUIRED APPROVALS</u>:

It is understood that CONSULTANT shall not assign, sublet, subcontract, or transfer CONSULTANT'S rights or obligations in AGREEMENT without the prior express, written consent of COUNTY. Such approval shall only be given by COUNTY Board of Supervisors, except as may be provided in Article I.B. of AGREEMENT.

## XII. COMPLIANCE WITH LAWS:

CONSULTANT shall comply with all applicable Federal, State, and local laws, ordinances, regulations and Fresno County Charter Provisions applicable and in effect at the time of CONSULTANT'S performance of the professional services to be provided hereunder.

## XIII. GOVERNING LAW:

- A. Any controversy or claim arising out of or relating to AGREEMENT which cannot be amicably settled without court action shall be litigated either in a state court for Fresno County, California, or in the U.S. District Court for the Eastern District of California, located in Fresno County.
- B. The rights and obligations of the parties and all interpretations and performance of AGREEMENT shall be governed in all respects by the laws of the State of California.

## XIV. <u>AMENDMENTS</u>:

Any changes to AGREEMENT requested either by COUNTY or

CONSULTANT may be affected only if mutually agreed upon in writing by duly authorized representatives of the parties hereto. AGREEMENT shall not be modified or amended, nor shall any rights of a party hereto be waived, except by such a writing.

## XV. CONSULTANT'S LEGAL AUTHORITY:

Each individual executing AGREEMENT on behalf of CONSULTANT hereby covenants, warrants, and represents: (i) that he or she is duly authorized to execute and deliver AGREEMENT on behalf of such corporation in accordance with a duly adopted resolution of the corporation's board of directors and in accordance with such corporation's articles of incorporation or charter and bylaws; (ii) that AGREEMENT is binding upon such corporation; and (iii) that CONSULTANT is a duly organized and legally existing corporation in good standing in the State of California.

## XVI. <u>HOLD HARMLESS</u>:

CONSULTANT agrees to indemnify, save, hold harmless, and at COUNTY'S request, defend COUNTY, its officers, agents, and employees from any and all costs and expenses, damages, liabilities, claims, and losses occurring or resulting to COUNTY in connection with the performance, or failure to perform, by CONSULTANT, its officers, agents or employees under AGREEMENT, and from any and all costs and expenses, damages, liabilities, claims, and losses occurring or resulting to any person, firm, or corporation who may be injured or damaged by the performance, or failure to perform, of CONSULTANT, its officers, agents, or employees under AGREEMENT.

## XVII. LIABILITY INSURANCE:

- A. Without limiting COUNTY'S right to obtain indemnification from CONSULTANT or any third parties, CONSULTANT, at its sole expense, shall maintain in full force and effect, the following insurance policies throughout the term of AGREEMENT:
- Commercial General Liability Insurance with limits of not less than One Million Dollars (\$1,000,000.00) per occurrence and an annual aggregate of

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Two Million Dollars (\$2,000,000.00). This policy shall be issued on a per occurrence basis. COUNTY may require specific coverages including completed operations, products liability, contractual liability, Explosion-Collapse-Underground, fire legal liability or any other liability insurance deemed necessary because of the nature of this contract.

- 2. Comprehensive Automobile Liability Insurance with limits for bodily injury of not less than Two Hundred Fifty Thousand Dollars (\$250,000.00) per person, Five Hundred Thousand Dollars (\$500,000.00) per accident and for property damages of not less than Fifty Thousand Dollars (\$50,000.00), or such coverage with a combined single limit of Five Hundred Thousand Dollars (\$500,000.00). Coverage should include owned and non-owned vehicles used in connection with AGREEMENT.
- Worker's Compensation insurance policy as required by the California Labor Code.
  - 4. Professional Liability Insurance:
- a. If CONSULTANT employs licensed professional staff in providing services, Professional Liability Insurance with limits of not less than One Million Dollars (\$1,000,000.00) per occurrence, Three Million Dollars (\$3,000,000.00) annual aggregate.
- b. The Professional Liability Insurance shall be kept in full force and effect for a period of three years from the termination date of AGREEMENT.

CONSULTANT shall obtain endorsements to the Commercial General Liability insurance naming the County of Fresno, its officers, agents, and employees, individually and collectively, as additional insured, but only insofar as the operations under AGREEMENT are concerned. Such coverage for additional insured shall apply as primary insurance and any other insurance, or self-insurance, maintained by COUNTY, its officers, agents and employees shall be excess only and not contributing with insurance provided under CONSULTANT'S policies herein. CONSULTANT shall give COUNTY at least thirty (30) days advance written notice of any cancellation, expiration,

reduction or other material change in coverage with respect to any of the aforesaid policies.

Within thirty (30) days from the date CONSULTANT executes AGREEMENT, CONSULTANT shall provide to the County of Fresno certificates of insurance and endorsements for all of the required policies as specified above, stating that such insurance coverage have been obtained and are in full force; that the County of Fresno, its officers, agents and employees will not be responsible for any premiums on the policies; that such Commercial General Liability insurance names the County of Fresno, its officers, agents and employees, individually and collectively, as additional insured, but only insofar as the operations under AGREEMENT are concerned; that such coverage for additional insured shall apply as primary insurance and any other insurance, or self-insurance, maintained by COUNTY, its officers, agents and employees, shall be excess only and not contributing with insurance provided under CONSULTANT'S policies herein; and that this insurance shall not be cancelled or changed without a minimum of thirty (30) days advance, written notice given to COUNTY. The certificates shall be sent to the attention of:

Mohammad Alimi, P.E., Senior Engineer
Department of Public Works and Planning
Design Division

In the event CONSULTANT fails to keep in effect at all times insurance coverage as herein provided, COUNTY may, in addition to other remedies it may have, suspend or terminate AGREEMENT upon the occurrence of such event.

2220 Tulare Street, Sixth Floor

All policies shall be with admitted insurers licensed to do business in the State of California. Insurance purchased shall be purchased from companies possessing a current A.M. Best, Inc. rating of A FSC VII or better.

XIII. <u>OWNERSHIP OF DOCUMENTS</u>:

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A. All documents, including preliminary documents, electronic forms, calculations, and survey data, required in performing services under AGREEMENT shall be submitted to, and shall remain at all times the property of COUNTY regardless of whether they are in the possession of CONSULTANT or any other person, firm, corporation or agency.

B. CONSULTANT understands and agrees that COUNTY shall retain full ownership rights of the drawings and the work-product of CONSULTANT for each project, to the fullest extent permitted by law. In this regard, CONSULTANT acknowledges and agrees that CONSULTANT'S services are on behalf of COUNTY and are "works made for hire," as that term is defined in copyright law, by COUNTY; that the drawings and work-product to be prepared by CONSULTANT are for the sole and exclusive use of COUNTY, and shall be the sole owner of all patents, copyrights, trademarks, trade secrets and other rights and contractual interests in connection therewith which are developed and compensated solely under AGREEMENT; that all the rights, title, and interest in and to the drawings and work-product will be transferred to COUNTY by CONSULTANT to the extent CONSULTANT has an interest in and authority to convey such rights; and CONSULTANT will assist COUNTY to obtain and enforce patents, copyrights, trademarks, trade secrets, and other rights and contractual interests relating to said drawings and work-product; that COUNTY shall be and become the owner of such drawings and work product, free and clear of any claim by CONSULTANT or anyone claiming any right through CONSULTANT. CONSULTANT further acknowledges and agrees that COUNTY'S ownership rights in such drawings and work product shall apply regardless of whether such drawings or work product, or any copies thereof, are in the possession of CONSULTANT, or any other person, firm, corporation, or entity. For the purpose of AGREEMENT the terms "drawings and workproduct" shall mean all reports, studies and findings required hereunder, and, developments, improvements, or specific data generated or conceived or reduced to

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the tasks assigned to CONSULTANT by COUNTY under AGREEMENT. C. If the scope of CONSULTANT'S work under AGREEMENT is reduced

practice or learning by CONSULTANT, either alone or jointly with others, that result from

- for a specific PROJECT (or COUNTY discontinues a specific PROJECT), a copy of the report(s), technical studies or documents (including preliminary documents) required hereunder shall be submitted by CONSULTANT to COUNTY, which may use them to complete PROJECT at a future time.
- D. Reports, studies and other documents prepared by CONSULTANT pursuant to AGREEMENT are intended to be relied upon and capable of reuse by COUNTY or others as suitable in connection with extensions of the services provided for PROJECT. Any use of completed documents for other projects and/or any use of uncompleted documents will be at COUNTY'S sole risk and without liability or legal exposure to CONSULTANT.
- E. COUNTY has requested that certain machine-readable information ("CAD") and data be provided by CONSULTANT under AGREEMENT. Such CAD data is more specifically described in Article III. CONSULTANT shall not be liable for claims, liabilities or losses arising out of, or connected with (1) the modification or misuse by COUNTY or anyone authorized by COUNTY, of such CAD data, or (2) decline of accuracy or readability of CAD data due to inappropriate storage conditions or duration; or (3) any use by COUNTY, or anyone authorized by COUNTY, of such CAD data for additions to this PROJECT or for the completion of this project by others, or for other Any use of CAD data for PROJECT other than PROJECT covered by projects. AGREEMENT and/or any use of uncompleted CAD data will be at COUNTY'S sole risk and without liability or legal exposure to CONSULTANT. COUNTY and CONSULTANT agree that any CAD files prepared by either party shall conform to the specifications required by COUNTY. The electronic files submitted by CONSULTANT to COUNTY are submitted for an acceptance period lasting until the expiration of AGREEMENT. Any

defects COUNTY discovers during this period will be reported to CONSULTANT and will be corrected as part of CONSULTANT'S "Basic Scope of Services".

## XIX. <u>TIME OF COMPLETION</u>:

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by COUNTY'S Director of Public Works and Planning or his designee. The term of AGREEMENT is three years. CONSULTANT shall complete all PROJECTS in progress at the time AGREEMENT expires. The terms and hourly rates of AGREEMENT shall be

The term of AGREEMENT shall commence on the date of execution

in effect until completion and approval of all PROJECTS in progress at the time

hereof, and shall expire by its terms on **EXPIRATION DATE**, unless extended in writing

AGREEMENT expires.

B. Upon request of COUNTY'S Representative, CONSULTANT shall submit for COUNTY Representative's approval, time schedules for the performance of CONSULTANT'S services which may be adjusted as the PROJECTS proceed, and shall include allowances for periods of time required for COUNTY'S review and approval of submissions by authorities having jurisdiction over PROJECTS. Time limits established by these schedules approved by COUNTY Representative shall not, except as provided in AGREEMENT, be exceeded by CONSULTANT.

C. Time is of the essence in the completion of the services covered by AGREEMENT. CONSULTANT shall diligently proceed with the agreed scope of services and shall provide such services in a timely manner. Failure of CONSULTANT to meet any specific date in the schedule, once such failure continues more than fourteen (14) calendar days, past the specified completion date (unless the delay is attributable to COUNTY or others not under the control of CONSULTANT), is sufficient cause for immediate termination of AGREEMENT, at the option of COUNTY, in accordance with Article XX.C.

## XX. TERMINATION OF AGREEMENT:

A. AGREEMENT may be terminated without cause at any time by

COUNTY;

COUNTY upon thirty (30) calendar days written notice. If COUNTY terminates AGREEMENT, CONSULTANT shall be compensated for services satisfactorily completed to the date of termination based upon the compensation rates and subject to the maximum amounts payable agreed to in Article V, together with such additional services satisfactorily performed after termination which are expressly authorized by COUNTY Representative to conclude the work performed to date of termination.

- B. If CONSULTANT terminates AGREEMENT for reasons other than material breach by COUNTY, CONSULTANT shall reimburse COUNTY, up to a maximum of \$10,000 for the actual expense of issuing a new Request For Proposal, engaging a new CONSULTANT, and the new CONSULTANT'S cost in becoming familiar with the previous CONSULTANT'S environmental documentation.
- C. COUNTY may immediately suspend or terminate AGREEMENT in whole or in part, where in the determination of COUNTY there is:
  - 1. An illegal or improper use of funds;
  - 2. A failure to comply with any term of AGREEMENT;
  - 3. A substantially incorrect or incomplete report submitted to
    - 4. Improperly performed service.
- D. In no event shall any payment by COUNTY constitute a waiver by COUNTY of any breach of AGREEMENT or any default which may then exist on the part of CONSULTANT. Neither shall such payment impair or prejudice any remedy available to COUNTY with respect to the breach or default. COUNTY shall have the right to demand of CONSULTANT the repayment to COUNTY of any funds disbursed to CONSULTANT under AGREEMENT, which, in the judgment of COUNTY were not expended in accordance with the terms of AGREEMENT. CONSULTANT shall promptly refund any such funds upon demand.
  - E. The terms of AGREEMENT, and the services to be provided

thereunder, are contingent on the approval of funds by the appropriating government agency. Should sufficient funds not be allocated, the services to be provided may be modified, or AGREEMENT terminated at any time, by giving CONSULTANT thirty (30) days advance written notice.

## XXI. COVENANT AGAINST CONTINGENT FEES:

A. CONSULTANT warrants that he/she has not employed or retained any company or person, other than a bona fide employee working for CONSULTANT, to solicit or secure AGREEMENT, and that he/she has not paid or agreed to pay any company or person, other than a bona fide employee, any fee, commission, percentage, brokerage fee, gift, or any other consideration, contingent upon or resulting from the award or formation of AGREEMENT. For breach or violation of this warranty, COUNTY shall have the right to annul AGREEMENT without liability, or at its discretion to deduct from AGREEMENT price or consideration, or otherwise recover, the full amount of such fee, commission, percentage, brokerage fee, gift, or contingent fee.

B. CONSULTANT shall sign the CERTIFICATION OF CONSULTANT included with AGREEMENT at the time of AGREEMENT execution.

## XXII. PATENT RIGHTS AND COPYRIGHTS:

- A. CONSULTANT shall observe any patent rights regarding rights to inventions as required in 41 Code of Federal Regulations 1-9.1.
- B. COUNTY may permit CONSULTANT to copyright any reports or other products required to be produced by AGREEMENT. Should CONSULTANT wish to obtain copyrights for any such reports or products, COUNTY shall have the royalty-free non-exclusive and irrevocable right to reproduce, publish, or otherwise use, and to authorize others to use, the work for government purposes.

## XXIII. CONFLICT OF INTEREST:

CONSULTANT shall comply with the provisions of the Fresno County

Department of Public Works and Planning Conflict of Interest Code, attached hereto as

Appendix H and incorporated herein. Such compliance shall include the filing of annual statements pursuant to the regulations of the State Fair Political Practices Commission including, but not limited to, portions of Form 700.

## XXIV. MISCELLANEOUS:

A. COUNTY agrees that in accordance with generally accepted practices, CONTRACTOR will be required to assume sole and complete responsibility for job site conditions during the course of PROJECT, including safety of all persons and property, and that this requirement shall be made to apply continuously during PROJECT and not be limited to normal working hours. CONSULTANT shall not have control over or charge of, and shall not be responsible for, PROJECT means, methods, techniques, sequences or procedures, as these are solely the responsibility of the CONTRACTOR. CONSULTANT shall not have the authority to stop or reject the work of the CONTRACTOR.

## XXV. <u>ENTIRE AGREEMENT</u>:

AGREEMENT constitutes the entire agreement between COUNTY and CONSULTANT with respect to the subject matter hereof and supersedes all previous negotiations, proposals, commitments, writings, advertisements, publications, and understandings of any nature whatsoever unless expressly included in AGREEMENT.

## XXVI. SEVERABILITY:

Should any provision herein be found or deemed to be invalid, AGREEMENT shall be construed as not containing such provision, and all other provisions which are otherwise lawful shall remain in full force and effect, and to this end the provisions of AGREEMENT are declared to be severable.

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1	IN WITNESS WHEREOF, the parties hereto have caused AGREEMENT to					
2	be executed as of the day and year first above written.					
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4	CONSULTANT	COUNTY OF FRESNO				
5	BY:	DV.				
6	TITLE:	BY: CHAIRMAN, BOARD OF SUPERVISORS				
7	ADDRESS:					
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10		10000VED 10 TO 1 FOR 1 FOR 1				
11		APPROVED AS TO LEGAL FORM DENNIS MARSHALL				
12	REVIEWED AND RECOMMENDED FOR APPROVAL	COUNTY COUNSEL				
13	DV.	BY:				
14	BY:ALAN WEAVER, DIRECTOR DEPARTMENT OF PUBLIC WORKS AND	DEPUTY				
15	DEPARTMENT OF PUBLIC WORKS AND PLANNING					
16		APPROVED AS TO ACCOUNTING FORM				
17		DV.				
18		BY:VICKI CROW, C.P.A.				
19	Fund / Subclass / Org / Account / Memo	AUDITOR-CONTROLLER/ TREASURER-TAX COLLECTOR				
20	0010 / 11000 / 4510 / 7295 / 0125					
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COUNTY OF FRESNO Fresno, California

07/03/07